



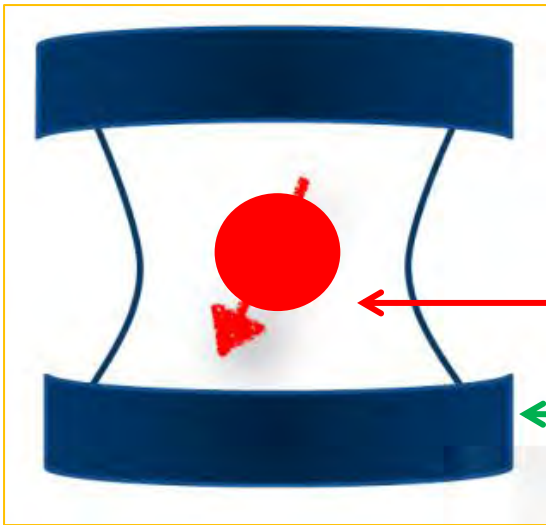
Università degli Studi di Milano
Istituto Nazionale di Fisica Nucleare

CHARACTERIZATION OF HEAT IN NON-MARKOVIAN OPEN QUANTUM SYSTEMS

GIACOMO GUARNIERI



- OPEN QUANTUM SYSTEMS
- QUANTUM NON-MARKOVIANITY
- HEAT IN OPEN QUANTUM SYSTEMS
- FULL-COUNTING STATISTICS
- HEAT BACKFLOW OCCURRENCE AND MEASURE
 - SPIN-BOSON
 - QUANTUM BROWNIAN MOTION
- LOWER BOUND TO THE MEAN DISSIPATED HEAT
 - LANDAUER'S PRINCIPLE
 - NON-EQUILIBRIUM LOWER BOUND
 - XX-COUPLED AND DRIVEN V-SYSTEM
- CONCLUSIONS



Composite system $\rho_{SE} \in \mathcal{S}(\mathcal{H}_{SE})$

$$\mathcal{S}(\mathcal{H}) = \{\rho \in \mathcal{T}(\mathcal{H}) | \rho \geq 0, \|\rho\|_1 = 1\}$$

System of interest $\rho_S \equiv \text{Tr}_E [\rho_{SE}] \in \mathcal{S}(\mathcal{H}_S)$

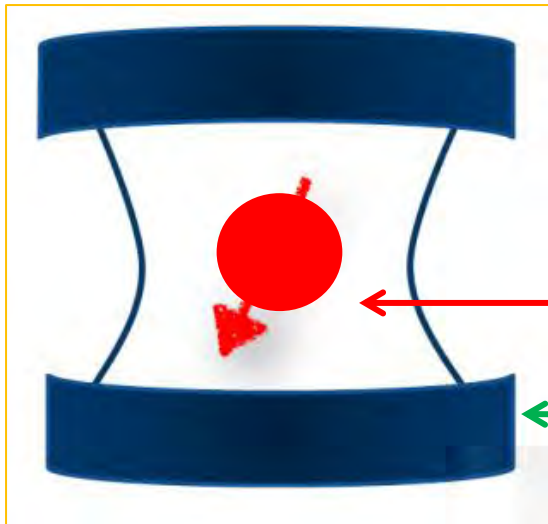
Environment $\rho_E \equiv \text{Tr}_S [\rho_{SE}] \in \mathcal{S}(\mathcal{H}_E)$

$$\rho_{SE}(t_0) \xrightarrow{\mathcal{U}(t, t_0)} \rho_{SE}(t) = \mathcal{U}(t, t_0) \rho_{SE}(t_0) \mathcal{U}^\dagger(t, t_0)$$

$$\begin{array}{c} \downarrow \text{Tr}_E \\ \rho_S(t_0) \end{array}$$

$$\begin{array}{c} \downarrow \text{Tr}_E \\ \rho_S(t) = \text{Tr}_E [\mathcal{U}(t, t_0) \rho_{SE}(t_0) \mathcal{U}^\dagger(t, t_0)] \end{array}$$

Open quantum systems



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$$\begin{array}{ccc}
 \rho_S(0) \otimes \rho_E & \xrightarrow{\mathcal{U}(t, t_0)} & \rho_{SE}(t) = \mathcal{U}(t, t_0) \rho_S(0) \otimes \rho_E \mathcal{U}^\dagger(t, t_0) \\
 \downarrow \text{Tr}_E & & \downarrow \text{Tr}_E \\
 \rho_S(t_0) & \xrightarrow{\Lambda(t, t_0)} & \rho_S(t) = \text{Tr}_E [\mathcal{U}(t, t_0) \rho_S(0) \otimes \rho_E \mathcal{U}^\dagger(t, t_0)]
 \end{array}$$



$$\rho_S(t) = \Lambda(t, t_0) \rho_S(t_0), \quad \Lambda(t, t_0) : \mathcal{S}(\mathcal{H}_S) \rightarrow \mathcal{S}(\mathcal{H}_S)$$

- The dynamical map $\Lambda(t, t_0)$ has to be linear, trace preserving and **completely positive** (CP)

$$\frac{d}{dt} \rho_S(t) = -i [\mathcal{H}(t), \rho_S(t)] + \sum_{k=1}^{N^2-1} \boxed{\gamma_k(t)} \left(\sigma_k(t) \rho_S(t) \sigma_k^\dagger(t) - \frac{1}{2} \{ \sigma_k^\dagger(t) \sigma_k(t), \rho_S(t) \} \right)$$

Time – dependent GKSL master equation

- No time-dependence and non-negative rates



QUANTUM
DYNAMICAL
SEMIGROUPS

NON - MARKOVIANITY IN QUANTUM DYNAMICS

- There is no immediate quantum parallel of the classical definition
- Non-Markovianity is related to the presence of memory effects in the dynamics
- Many sufficient conditions and estimators have been constructed which cope with the time-behavior of the statistical operator $\rho(t)$.

CP - divisibility based criterion

A' . Rivas, S.F. Huelga, M.B. Plenio, PRL **105**, 050403 (2010)

The time-evolution of a quantum system described in terms of a family of quantum dynamical maps $\{\Lambda(t, t_0)\}_{t \geq t_0}$ is Markovian if it is CP-divisible $\Lambda(t, t_0) = \Lambda(t, s)\Lambda(s, t_0)$

$$\frac{d}{dt}\rho_S(t) = -i[\mathcal{H}(t), \rho_S(t)] + \sum_{k=1}^{N^2-1} \gamma_k(t) \left(\sigma_k(t)\rho_S(t)\sigma_k^\dagger(t) - \frac{1}{2}\{\sigma_k^\dagger(t)\sigma_k(t), \rho_S(t)\} \right)$$

$$\gamma_k(t) \geq 0$$

Markovian dynamics

$$\gamma_k(t) < 0$$

Non-Markovian dynamics

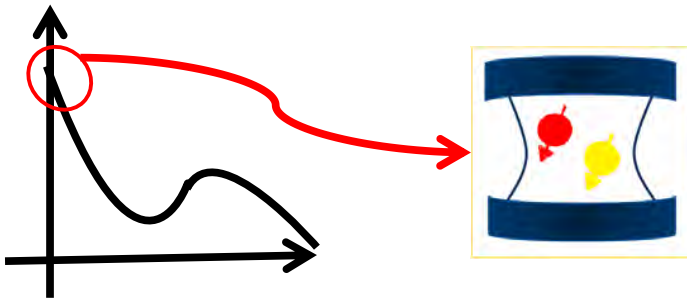
Trace-distance based criterion

$$D(\rho^1, \rho^2) = \frac{1}{2} \|\rho^1 - \rho^2\|_1 = \frac{1}{2} \sum_k |x_k|$$

It is a measure of the distinguishability between quantum states

It is a **contraction** under the action of PTP maps $D(t, \rho_S^{1,2}) \equiv D(\rho_S^1(t), \rho_S^2(t)), \quad \rho_S^k(t) = \Lambda(t) \rho_S^k$

Its can be employed to quantify the information flow between S and E



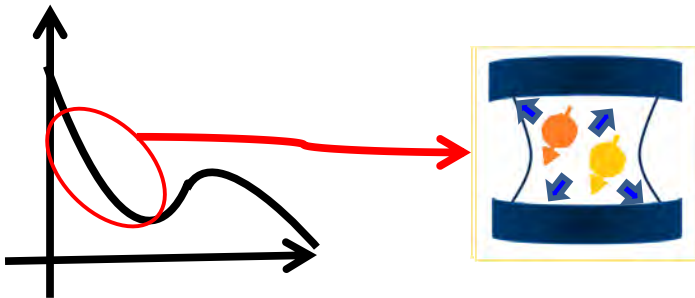
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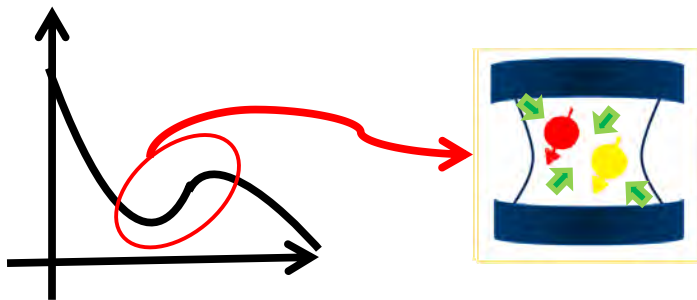
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$$\sigma(t, \rho_S^{1,2}) = \frac{d}{dt} D(t, \rho_S^{1,2}) > 0$$

$$\mathcal{N} = \max_{\rho_S^{1,2}(0)} \frac{1}{2} \int_0^{+\infty} dt (|\sigma(t, \rho_S^{1,2})| + \sigma(t, \rho_S^{1,2}))$$



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Heat in open quantum systems

Closed quantum systems

Change in the internal energy: $\Delta U(t) = \text{Tr} [\mathcal{H}(t)\rho(t)] - \text{Tr} [\mathcal{H}(0)\rho(0)]$



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$$\begin{aligned}\Delta U(t) &= \int_0^t d\tau \frac{d}{d\tau} (\text{Tr} [\mathcal{H}(\tau)\rho(\tau)]) \\ &= \int_0^t d\tau \left(\text{Tr} \left[\frac{d\mathcal{H}(\tau)}{d\tau} \rho(\tau) \right] + \text{Tr} \left[\mathcal{H}(\tau) \frac{d\rho(\tau)}{d\tau} \right] \right) \\ &\equiv \int_0^t d\tau [\delta W(\tau) + \delta Q(\tau)],\end{aligned}$$

$$W(t) \equiv \int_{t_0}^t d\tau \delta W(\tau), \quad Q(t) \equiv \int_{t_0}^t d\tau \delta Q(\tau)$$

Work

(no change in system's entropy)

Heat

(no change in the Hamiltonian)

$$\Delta U(t) = W(t) + Q(t)$$

First law of thermodynamics



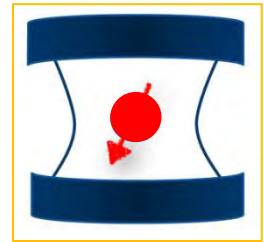
Heat in open quantum systems

Open quantum systems

$$\mathcal{H}(t) = \mathcal{H}_S(t) + \mathcal{H}_E + \mathcal{H}_{SE}(t)$$

The eventual time dependence has to be thought as due to external driving fields, under the control of an eventual experimenter.

For this reason H_E is considered independent on time.



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Work

$$W_E(t) = \int_0^t d\tau \operatorname{Tr}_E \left[\frac{d\mathcal{H}_E}{dt} \rho_E(t) \right] = 0$$

Heat

$$Q_E(t) \equiv \operatorname{Tr}_E [\mathcal{H}_E (\rho_E(t) - \rho_E(0))] = \int_0^t d\tau \operatorname{Tr}_E \left[\mathcal{H}_E \frac{d\rho_E(t)}{dt} \right]$$

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Full – Counting Statistics

The full-counting statistics allows to access all the cumulants
of the probability distribution

$p_t(\Delta a)$ of a change $\Delta a \equiv a_t - a_0$

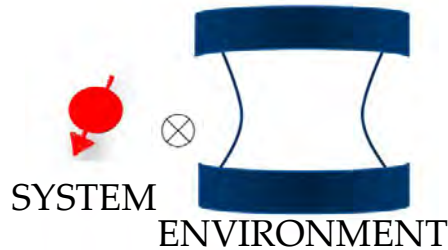
in the eigenvalues of a self-adjoint operator

$$\hat{A}(t) = \sum_{a_t} a_t \hat{\Pi}_{a_t}$$

whose eventual time-dependence is due
to the action of external driving fields

Two – time measurement protocol

1



Consider a composite system starting in a product state and such a selected observable A

$$\rho_{SE}(0) = \rho_S(0) \otimes \rho_E$$

$$\left[\hat{A}(0), \rho_{SE}(0) \right] = 0$$

2



Perform a projective measurement of the observable A at initial time

$$\rho'_{SE}(0) = \frac{\Pi_{a_0} \rho_{SE} \Pi_{a_0}}{\text{Tr}_{SE} \{ \rho_{SE} \Pi_{a_0} \}}$$

3



Let the overall system undergo the coupled evolution

$$U(t, 0)$$

$$\rho'_{SE}(t) = U(t, 0) \rho'_{SE}(0) U^\dagger(t, 0)$$

4



Detach S and E and perform another measurement of the same observable A at time t

$$\rho''_{SE}(t) = \frac{\Pi_{a_t} \rho'_{SE}(t) \Pi_{a_t}}{\text{Tr}_{SE} \{ \rho'_{SE}(t) \Pi_{a_t} \}}$$



Full – Counting Statistics

- The probability distribution $p_t(\Delta a)$ for a change $\Delta a \equiv a_t - a_0$ to occur between time 0 and time t is given by

$$p_t(\Delta a) = \sum_{a_0, a_t} \mathbb{P}_t [a_t; a_0] \delta(\Delta a - a_t + a_0)$$

where $\mathbb{P}_t [a_t; a_0] = \text{Tr} \left[\hat{\Pi}_{a_t} \hat{U}(t, 0) \hat{\Pi}_{a_0} \rho(0) \hat{\Pi}_{a_0} \hat{U}^\dagger(t, 0) \hat{\Pi}_{a_t} \right]$

- Upon introducing the cumulant generating function

$$\Theta(\eta, t) \equiv \ln \langle e^{i\eta \Delta a} \rangle_t = \ln \int d(\Delta a) p_t(\Delta a) e^{i\eta \Delta a}$$

the cumulants of Δa are obtained by derivation as

$$\langle (\Delta a)^n \rangle_t = (-i)^n \frac{\partial^n}{\partial \eta^n} \Theta(\eta, t) |_{\eta=0}$$



Full – Counting Statistics

- Under the assumption $[\hat{A}(0), \rho(0)] = 0$,

the cumulant generating can be re-expressed as

$$\Theta(\eta, t) = \ln \text{Tr}_S [\rho_S(\eta, t)]$$

System's operator

where

$$\rho_S(\eta, t) \equiv \text{Tr}_E \left\{ U_{\eta/2}(t, 0) \rho_{SE}(0) U_{-\eta/2}^\dagger(t, 0) \right\}$$

with

$$\hat{U}_\eta(t, 0) = e^{i\eta \hat{A}(t)} \hat{U}(t, 0) e^{-i\eta \hat{A}(0)}$$

Modified evolution operator: usual evolution conditioned on two 'rotations' induced by the observable A



MAIN POINT: USEFULNESS OF FULL-COUNTING STATISTICS

- Under the **same approximations** and employing the **same techniques** (Nakajima-Zwanzig projectors, perturbative expansion...) used to derive a master equation for the evolution of $\rho_S(t)$, one obtains a master equation for $\rho_S(\eta, t)$, usually called **generalized master equation** (GME).
- The cumulant generating function $\Theta(\eta, t) = \ln \text{Tr}_S [\rho_S(\eta, t)]$ is then obtained by solving the GME and, simply by derivation, it gives the cumulants of the probability distribution for the change in the selected observable.



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 - QUANTUM REGRESSION THEOREM
 - THE PURE-DEPHASING SPIN BOSON
-

PART 1

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PART 2

Heat Backflow: occurrence and quantifier



- $\rho_{SE}(0) = \rho_S(0) \otimes \rho_E$
- Weak coupling between S and E
- $\frac{d}{dt}\rho_S(\eta, t) = \Xi^\eta(t)\rho_S(\eta, t)$

$$\Theta(\eta, t) = \ln \text{Tr}_S [\rho_S(\eta, t)]$$

- ρ_E Gibbs state

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Projection – operator technique and second-order time-convolutionless expansion

$$\Xi^{(\eta)}(t) [\omega] = -i [\mathcal{H}_S, \omega] - \int_0^t d\tau \text{Tr}_E \left\{ \left[\mathcal{H}_{int}, [\mathcal{H}_{int}(-\tau), \omega \otimes \rho_E(0)]_\eta \right]_\eta \right\}$$

$$[\mathcal{H}_{int}(t), B]_\eta \equiv \mathcal{H}_{int}^\eta(t)B - B\mathcal{H}_{int}^{-\eta}(t) \quad \mathcal{H}_{int}^\eta(t) = e^{(i/2)\eta\mathcal{H}_E} \mathcal{H}_{int}(t) e^{-(i/2)\eta\mathcal{H}_E}$$

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FINITE DIMENSIONAL SYSTEMS

$$\rho_S(\eta, t) = T_+ \exp \left[\int_0^t d\tau \Xi^\eta(\tau) \right] \rho_S(0) \rightarrow |\rho_S(\eta, t)\rangle = T_+ \exp \left[\int_0^t d\tau \Xi^\eta(\tau) \right] |\rho_S(0)\rangle \equiv \Lambda^\eta(t, 0) |\rho_S(0)\rangle$$

$$\rightarrow \langle \Delta q \rangle_t = \int_0^t d\tau \theta(\tau) \quad \theta(t) \equiv \langle \mathbb{1} | \frac{\partial \Xi^\eta(t)}{\partial (i\eta)} |_{\eta=0} | \rho_S(t) \rangle$$

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INFINITE DIMENSIONAL SYSTEMS

$$\chi[\rho_S(\eta, t)](\lambda, \lambda^*) \equiv \chi^{(\eta)}(\lambda, \lambda^*, t) = \text{Tr}_S [\rho_S(\eta, t) e^{\lambda a^\dagger - \lambda^* a}]$$

$$\Theta(\eta, t) = \ln \text{Tr}_S [\rho_S(\eta, t)] = \ln \chi^{(\eta)}(0, 0, t)$$

$$\rightarrow \langle \Delta q \rangle_t = \frac{\partial \chi^{(\eta)}(0, 0, t)}{\partial (i\eta)} \Big|_{\eta=0} \quad \theta(t) = \frac{\partial \dot{\chi}^{(\eta)}(0, 0, t)}{\partial (i\eta)} \Big|_{\eta=0}$$

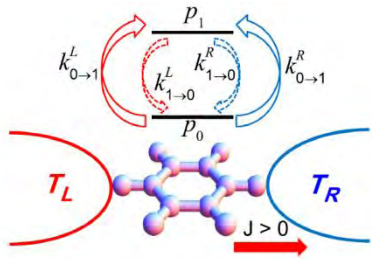
Heat Backflow: occurrence and quantifier

Born-Markov
and RWA
approximations

$$\frac{d}{dt}\rho(t) = \mathcal{L} \rho(t) = -i[H, \rho(t)] + \sum_m \Delta_m \left[C_m \rho C_m^\dagger - \frac{1}{2} \{C_m^\dagger C_m, \rho\} \right]$$

Time-independent GKSL master equation

$$\vartheta(\eta) = \lim_{t \rightarrow +\infty} \Theta(\eta, t)/t \quad \longrightarrow \quad \langle \Delta q \rangle_t \approx \langle \Delta q \rangle t$$



Temperature-induced **steady** heat flow from **hot** to **cold** subsystem

Esposito *et al.*, RMP **81**, 1665 (2009); Ren *et al.*, PRL **104**, 170601 (2010); C. Uchiyama PRE **89**, 052108 (2014)

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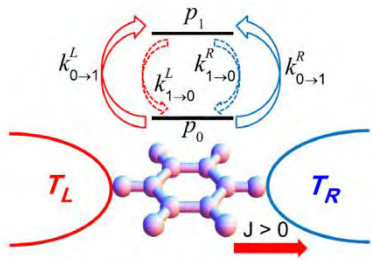
QUANTUM
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Beyond semigroup dynamics

$$\frac{d}{dt}\rho(t) = \mathcal{L}_t \rho(t) = -i[H(t), \rho(t)] + \sum_m \Delta_m(t) \left[C_m(t) \rho C_m^\dagger(t) - \frac{1}{2} \{C_m^\dagger(t) C_m(t), \rho\} \right]$$



$$\langle \Delta q \rangle_t = \int_0^t d\tau \theta(\tau)$$



Heat Backflow: occurrence and quantifier

Given a system S weakly coupled to an environment E , we speak of time regions of *heat backflow from E to S* whenever, considering dynamical situations which in the Born-Markov semigroup approximation would lead to a non-negative steady energy transfer from system to environment, we have that at some time t

$$\theta(t) < 0.$$

Building on this condition, a measure for the total amount of energy which has flown back from the environment to the system during the evolution is naturally introduced as

$$\langle \Delta q \rangle_{back} = \max_{\rho_S(0)} \frac{1}{2} \int_0^{+\infty} dt (|\theta(t)| - \theta(t)),$$



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After the maximization over the possible initial states of the reduced system, it becomes a **property of the dynamical map** only.

Application to the Spin – boson model

- The two – level system is coupled to an environment consisting of an infinite number of bosonic modes.



- The Hamiltonian is
$$\mathcal{H} = \frac{\omega_0}{2} \sigma_z + \sum_k \omega_k b_k^\dagger b_k + \sigma_x \otimes B_E$$

$$B_E \equiv \sum_k (g_k b_k^\dagger + g_k^* b_k)$$

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- The energy flow per unit of time has the form $\theta(t) \equiv \langle 1 | \frac{\partial \Xi^{(\eta)}(t)}{\partial(i\eta)} | \rho_S(t) \rangle |_{\eta=0}$

$$\Xi^\eta(t) = - \int_0^t d\tau \begin{pmatrix} V_+(\tau) & 0 & 0 & W_+^\eta(\tau) \\ 0 & Y_+(\tau) & Z_+^\eta(\tau) & 0 \\ 0 & Z_-^\eta(\tau) & Y_-(\tau) & 0 \\ W_-^\eta(\tau) & 0 & 0 & V_-(\tau) \end{pmatrix} \begin{aligned} V_\pm(\tau) &= \Phi(\tau) e^{\mp i\omega_0 \tau} + \Phi(-\tau) e^{\pm i\omega_0 \tau}, \\ W_\pm^\chi(\tau) &= - [\Phi(\tau - \chi) e^{\pm i\omega_0 \tau} + \Phi(-\tau - \chi) e^{\mp i\omega_0 \tau}], \\ Y_\pm(\tau) &= 2 \text{Re} [\Phi(\tau)] e^{\mp i\omega_0 \tau}, \\ Z_\pm^\chi(\tau) &= - [\Phi(\tau - \chi) + \Phi(-\tau - \chi)] e^{\pm i\omega_0 \tau}. \end{aligned}$$

Environmental correlation function

$$\Phi(\tau) = \int_0^{+\infty} d\omega J(\omega) \left[\coth \left(\frac{\omega}{2T_E} \right) \cos(\omega\tau) - i \sin(\omega\tau) \right]$$



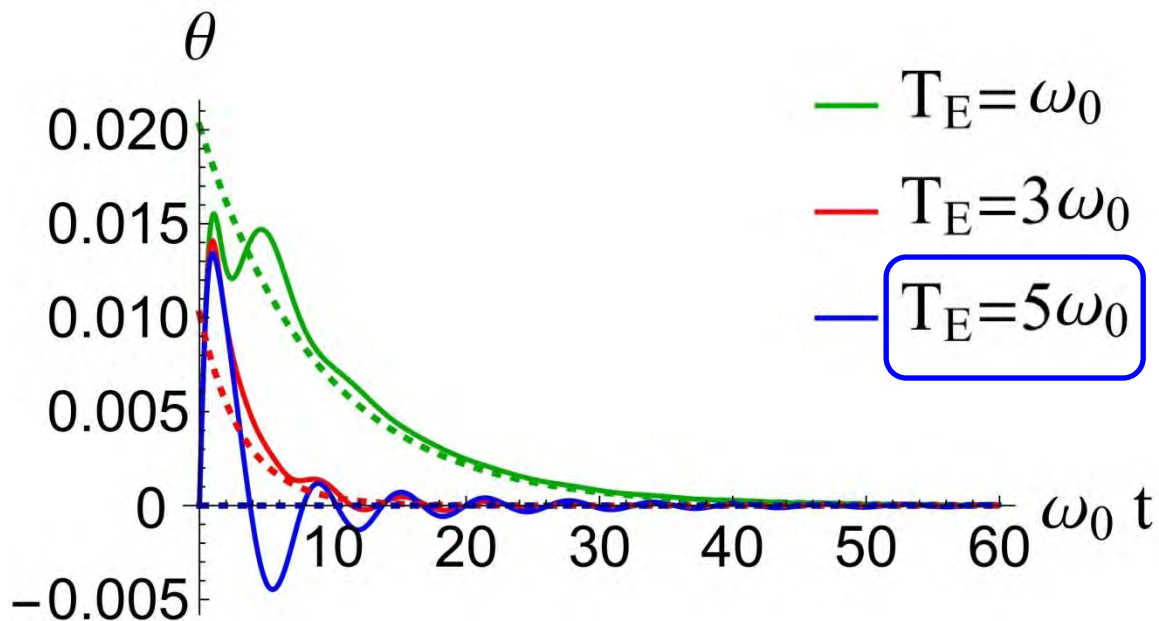
Application to the Spin – boson model

- Assuming the spectral density to be of the form $J(\omega) = \lambda \omega e^{-\frac{\omega}{\Omega}}$
- $\rho_S(0) = Z^{-1} \left(|0\rangle\langle 0| + e^{-\omega_0/T_S} |1\rangle\langle 1| \right)$, $Z = 1 + e^{-\omega_0/T_S}$
- $T_S \geq T_E$ Condition which guarantees, in the Born-Markov limit, a steady heat flow from the system to the environment



Application to the Spin – boson model

- Assuming the spectral density to be of the form $J(\omega) = \lambda \omega e^{-\frac{\omega}{\Omega}}$
- $\rho_S(0) = Z^{-1} \left(|0\rangle\langle 0| + e^{-\omega_0/T_S} |1\rangle\langle 1| \right)$, $Z = 1 + e^{-\omega_0/T_S}$
- $T_S \geq T_E$ Condition which guarantees, in the Born-Markov limit, a steady heat flow from the system to the environment



$$\Omega = 0.4 \omega_0$$

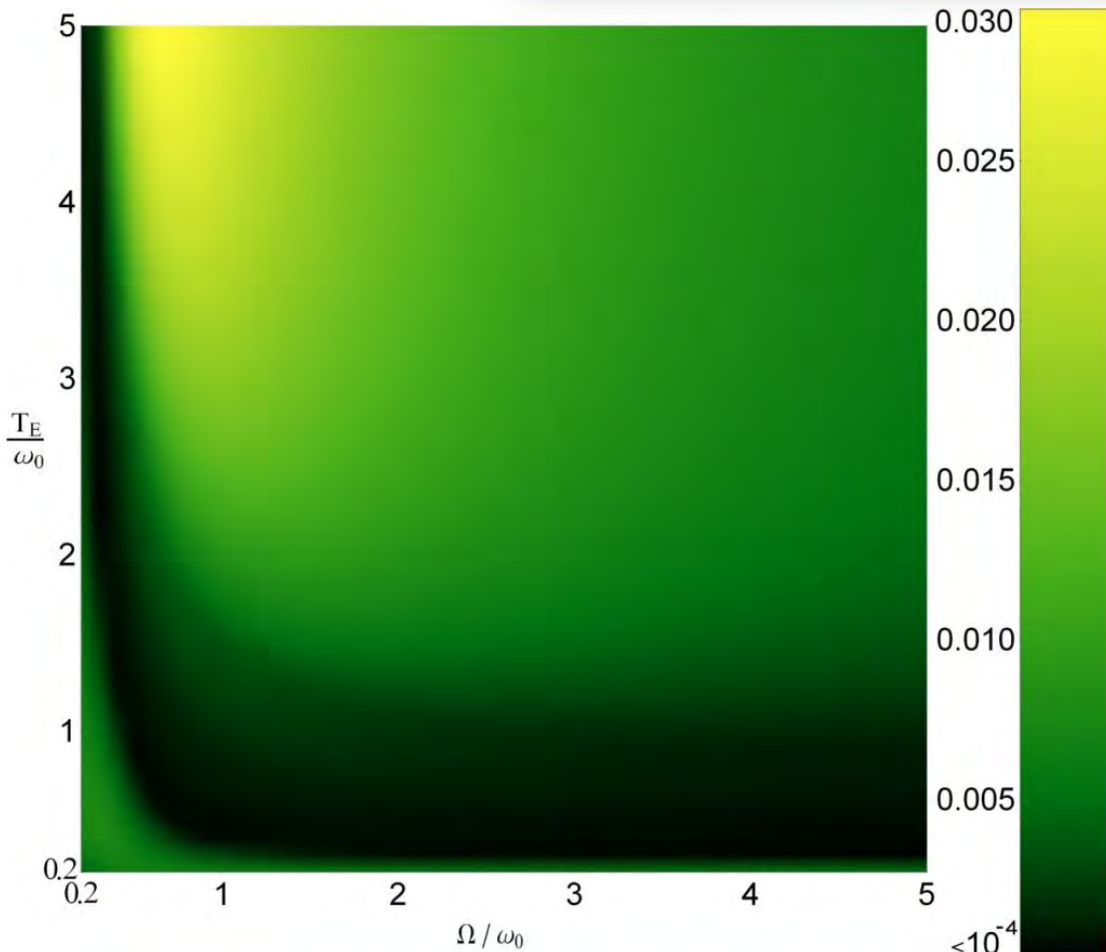
$$\lambda = 0.1$$

$$T_S = 5\omega_0$$

For every value of λ , Ω and T_E the heat backflow is maximized by the choice $T_S = T_E$

Application to the Spin – boson model

$$\langle \Delta q \rangle_{back} = \max_{\rho_S(0)} \frac{1}{2} \int_0^{+\infty} dt (|\theta(t)| - \theta(t))$$



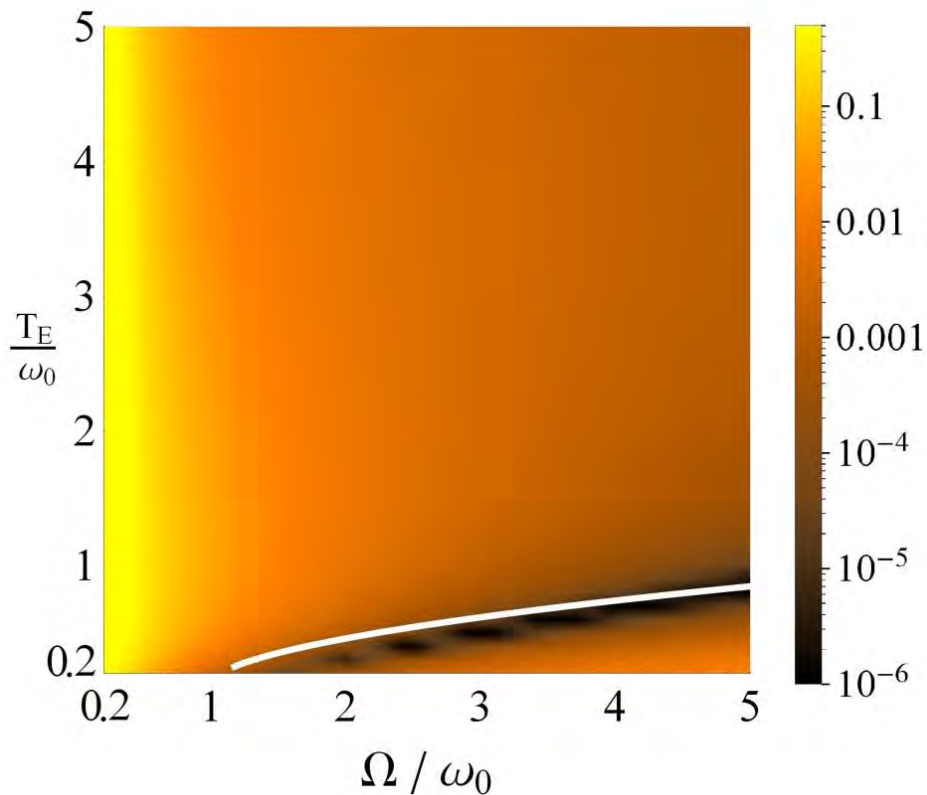
● $\lambda = 0.1$

● $T_S = T_E$

● Region of max heat backflow

● Region of absent heat backflow

Spin – boson model



- $\lambda = 0.1$

- The reduced dynamics is always non-Markovian except on the **resonance curve**, defined by the condition

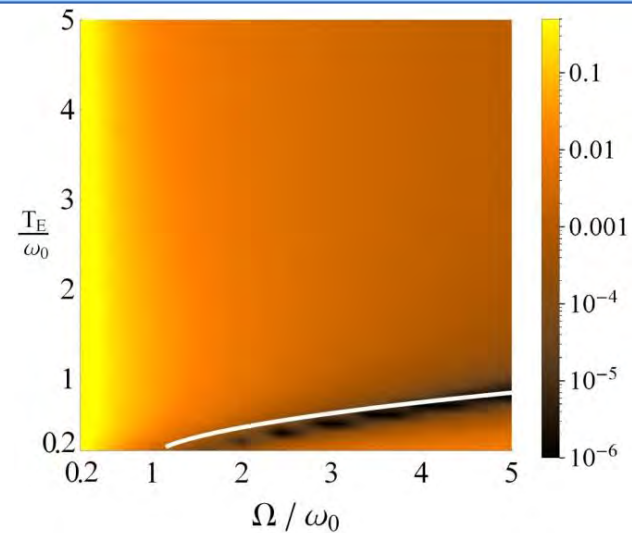
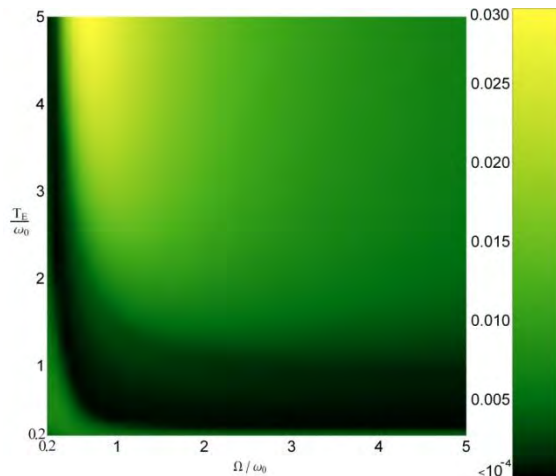
$$\frac{\partial}{\partial \omega} J_{eff}(\omega, \Omega, T_E)|_{\omega=\omega_0} = 0$$

$$J_{eff}(\omega, \Omega, T_E) \equiv J(\omega) \coth\left(\frac{\omega}{2T_E}\right)$$

- Locally white-noise spectrum around the system's transition frequency

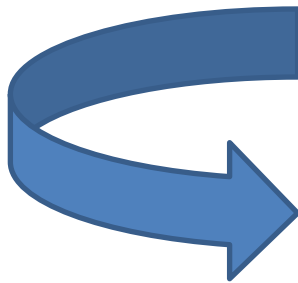
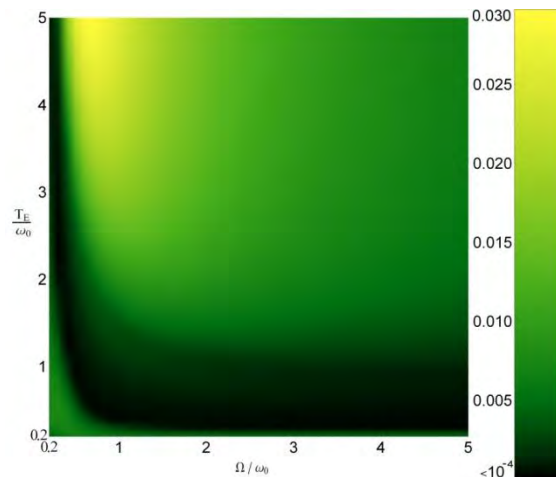


Relationship with the non-Markovianity



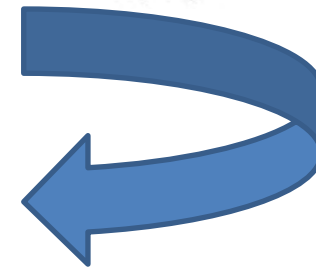
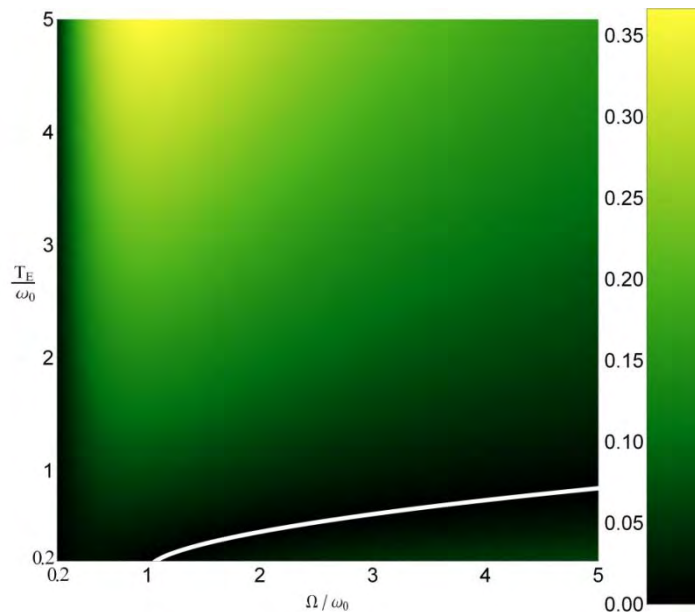


Relationship with the non-Markovianity

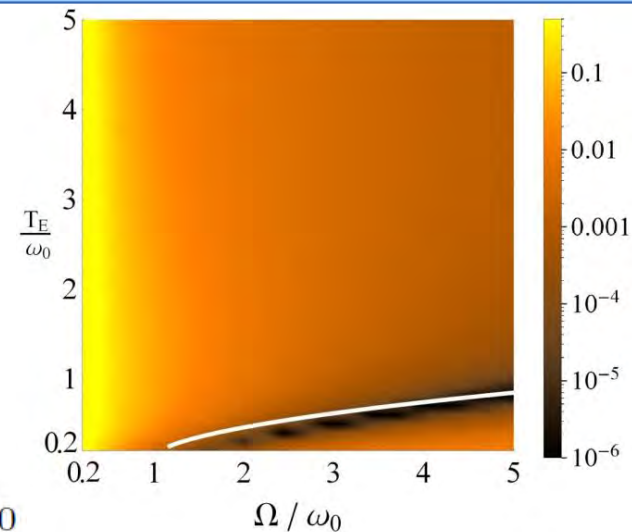


The heat backflow
measure is suppressed
whenever
the resonance condition
approximately holds
(i.e. on the black region)

$$\partial_{\omega} J_{eff}(\omega, \Omega, T_E)|_{\omega=\omega_0}$$



The non-Markovianity
measure is suppressed
whenever
the resonance condition
strictly holds
(i.e. on the white line)





- An harmonic oscillator is coupled to an environment consisting of an infinite number of bosonic modes.

- $$\mathcal{H} = \frac{\omega_0}{2} (a^\dagger a + 1/2) + \sum_k \omega_k b_k^\dagger b_k + X \otimes B_E$$

$$X = 2^{-1/2}(a + a^\dagger)$$

$$(P = 2^{-1/2}i(a^\dagger - a))$$

$$B_E \equiv \sum_k (g_k b_k^\dagger + g_k^* b_k)$$



Application to the QBM

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Weak coupling regime

- Analytic Fokker-Planck master equation

$$\frac{d}{dt} \chi^{(n)}(q, p, t) = \left\{ \omega_0 (q \partial_p - p \partial_q) - V_1(\eta, t) (\partial_{qq}^2 + \partial_{pp}^2) - (2\Delta(t) + V_1(\eta, t)) \frac{q^2 + p^2}{4} \right. \\ \left. + (V_2(\eta, t) - \gamma(t)) (q \partial_q + p \partial_p) + V_2(\eta, t) \right\} \chi^{(n)}(q, p, t)$$

- Analytic solution for the heat flow rate

$$\theta(t) = 2\sigma(0, t) \left(\frac{1}{2} D_2(t) \cos(\omega_0 t) + \omega_0 \gamma(t) \right) \\ + \frac{1}{2} D_1(t) \sin(\omega_0 t) - \omega_0 \Delta(t)$$



Application to the QBM

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Strong coupling regime

- Fully numerical simulation with finite-number of environmental modes

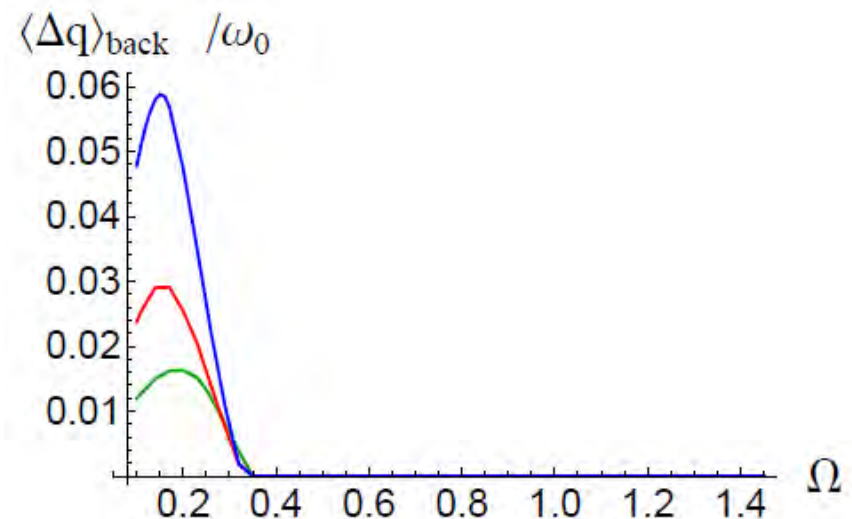
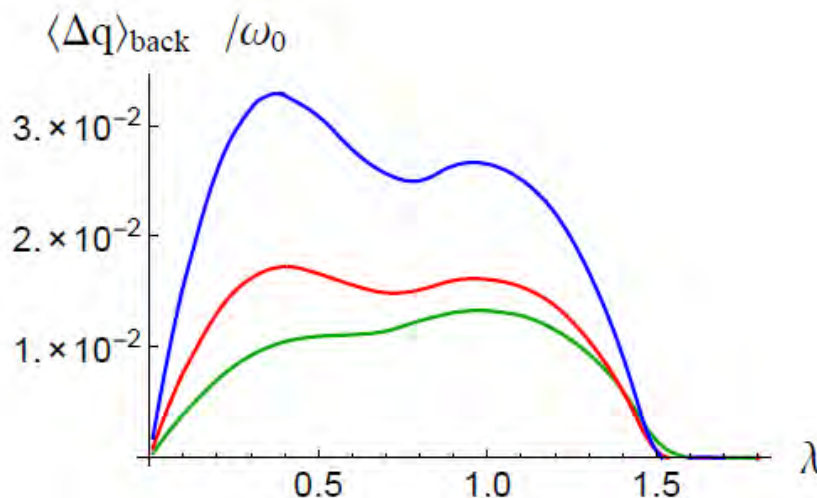
$$\mathcal{H} = \frac{\mathbf{P}^T \mathbf{P}}{2} + \mathbf{X}^T \mathbf{M} \mathbf{X}$$

$$X_i(t) = \sum_{j=1}^{N+1} [\mathbf{M}_{ij}^{XX}(t) X_j(0) + \mathbf{M}_{ij}^{XP}(t) P_j(0)]$$

$$P_i(t) = \sum_{j=1}^{N+1} [\mathbf{M}_{ij}^{PX}(t) X_j(0) + \mathbf{M}_{ij}^{PP}(t) P_j(0)]$$

$$\langle \Delta q \rangle_{back} = \max_{\rho_S(0)} \frac{1}{2} \int_0^{+\infty} dt (|\theta(t)| - \theta(t))$$

$$T_S = T_E$$

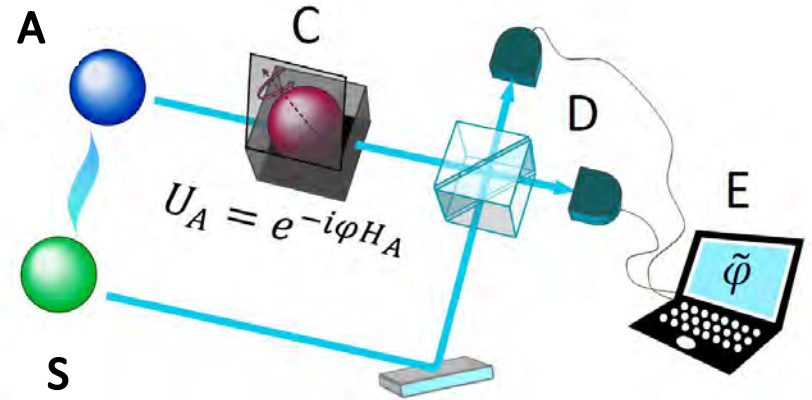


- Cut-off values in λ and Ω for the heat backflow

Gaussian Interferometric Power

$$Q(\rho_{SA}) = \frac{1}{4} \inf_{\mathcal{H}_A} \mathcal{J}(\rho_{SA}^{\mathcal{H}_A})$$

$$\mathcal{J}(\rho_{SA}^{\mathcal{H}_A}) \quad \text{Quantum Fisher Information}$$



- Likewise the trace distance, this figure of merit is a contraction under the action of CPT maps

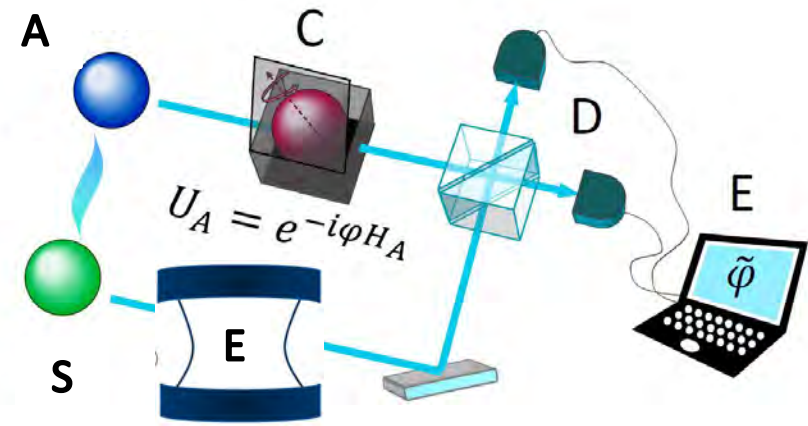
➡ Non-Markovian dynamics if $\frac{d}{dt} Q(\rho_{SA}) > 0$

$$\mathcal{N}_{QIP} = \max_{\rho_{SA}(0)} \frac{1}{2} \int_{\mathbb{R}^+} dt \left(\left| \frac{d}{dt} Q(\rho_{SA}) \right| + \frac{d}{dt} Q(\rho_{SA}) \right)$$

Gaussian Interferometric Power

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$\mathcal{J}(\rho_{SA}^{\mathcal{H}_A})$ Quantum Fisher Information



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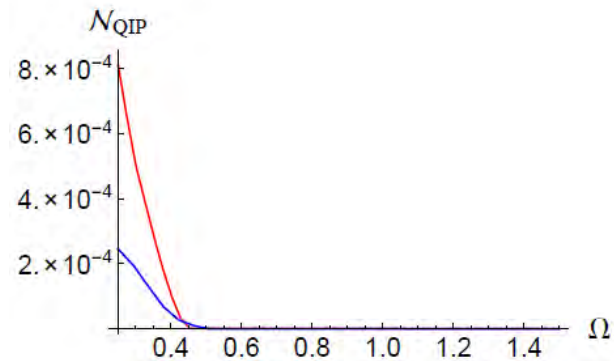
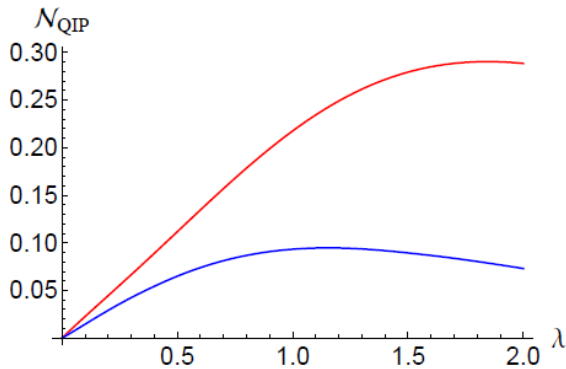
$$\mathcal{N}_{QIP} = \max_{\rho_{SA}(0)} \frac{1}{2} \int_{\mathbb{R}^+} dt \left(\left| \frac{d}{dt} Q(\rho_{SA}) \right| + \frac{d}{dt} Q(\rho_{SA}) \right)$$



Relationship with the non-Markovianity

Gaussian Interferometric Power

For the QBM model

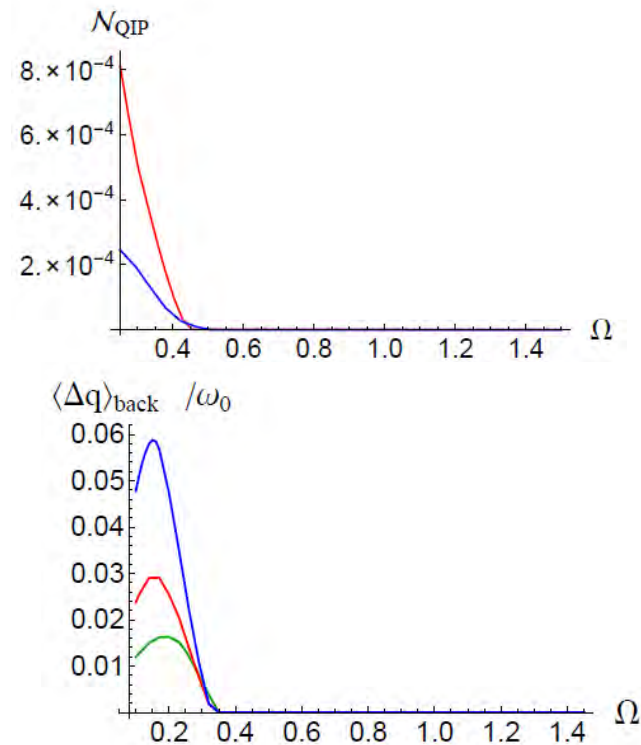
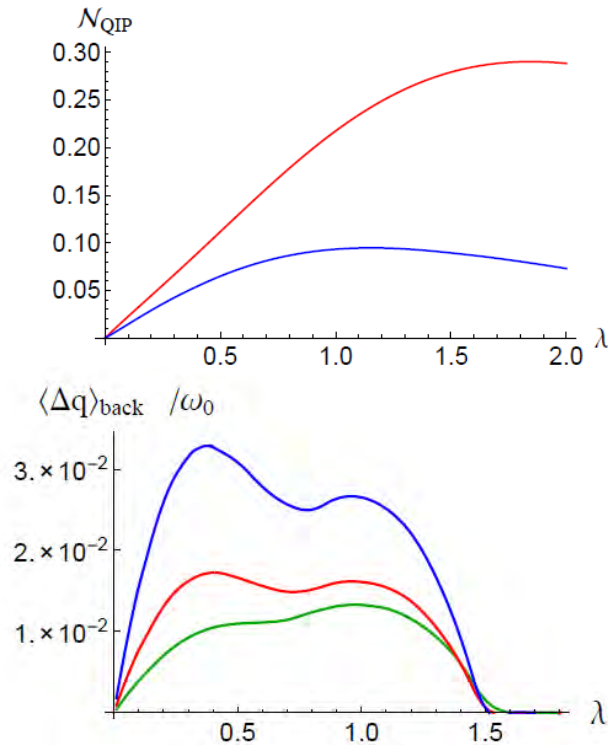




Relationship with the non-Markovianity

Gaussian Interferometric Power

For the QBM model



- λ dependence: cut-off for the heat backflow, absent for the nM measure
- Ω dependence: very similar behavior, cut-off for frequency both measures



For both the spin – boson model and for the quantum Brownian motion,
we had that:

- A generally non-Markovian description of the reduced dynamics causes the heat flow to oscillate and even come back from the environment to the system.
- The heat backflow measure is maximized whenever the initial temperatures of system and environment are equal to each other
- The occurrence of heat backflow represents a stricter condition than non-Markovianity, in the sense that the latter is required in order to witness the former and that, on the contrary, a Markovian dynamics prevents its observation.



- OPEN QUANTUM SYSTEMS
- QUANTUM NON-MARKOVIANITY
- HEAT IN OPEN QUANTUM SYSTEMS
- FULL-COUNTING STATISTICS
- HEAT BACKFLOW OCCURRENCE AND MEASURE
 - SPIN-BOSON
 - QUANTUM BROWNIAN MOTION
- LOWER BOUND TO THE MEAN DISSIPATED HEAT
 - LANDAUER'S PRINCIPLE
 - NON-EQUILIBRIUM LOWER BOUND
 - XX-COUPLED AND DRIVEN V-SYSTEM
- CONCLUSIONS



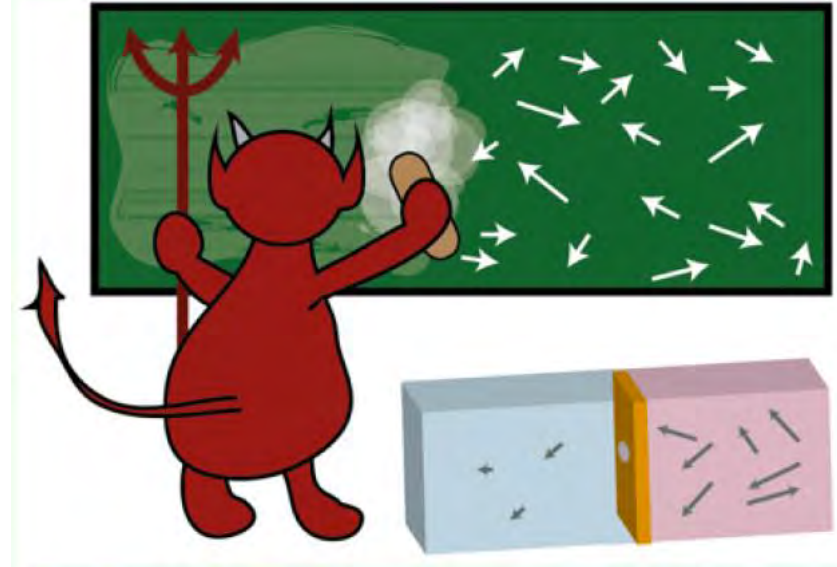
Landauer's principle

$$\beta Q_E \geq \Delta S$$

Lower bound to the mean dissipated heat

ERASURE PROTOCOL SCENARIO

- 1 S and E described in terms of Hilbert spaces;
- 2 the environment is initially described in terms of a thermal state $\rho_\beta = e^{-\beta \mathcal{H}_E} / Z_E$, with \mathcal{H}_E being a self-adjoint Hamiltonian operator on \mathcal{H}_E , $\beta \in [-\infty, +\infty]$ its inverse temperature and $Z_E = \text{Tr}_E [e^{-\beta \mathcal{H}_E}]$;
- 3 the total initial state is uncorrelated $\rho_{SE}(0) = \rho_S(0) \otimes \rho_\beta$;
- 4 the evolution of the overall system $S + E$ is given in terms of an unitary operator, i.e. $\rho_{SE}(t) = U(t, 0) \rho_{SE}(0) U^\dagger(t, 0)$.





Non-equilibrium lower bound

A thermodynamic-based lower bound

- $\rho'_E = \text{Tr}_S [\mathcal{U} (\rho_S(0) \otimes \rho_\beta) \mathcal{U}^\dagger] = \sum_k A_k \rho_\beta A_k^\dagger$

Transformation of the environmental state

- $p(\Delta E) = \sum_{l,n,m} \langle r_n | \hat{A}_l | r_m \rangle (\rho_E)_{mm} \langle r_m | \hat{A}_l^\dagger | r_n \rangle \delta(\Delta E - (E_n - E_m))$

constructed by means of the A_k 's contains far more information than just

$$Q_E \equiv \langle \Delta E \rangle = \int p(\Delta E) \Delta E d(\Delta E)$$

Non – unitarity degree of the environmental map

- $\langle e^{-\beta \Delta E} \rangle = \int e^{-\beta \Delta E} p(\Delta E) d(\Delta E) = \text{Tr}_E [\rho_\beta \mathbf{A}] \quad \mathbf{A} = \sum_k A_k A_k^\dagger$

Jensen's inequality

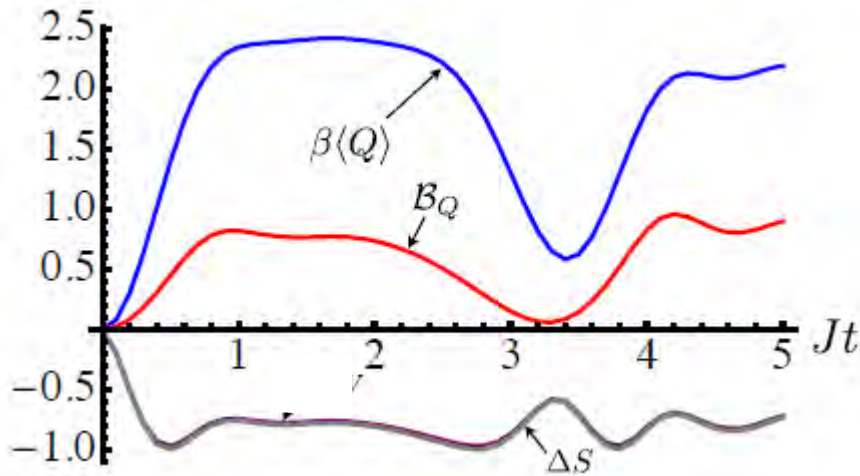
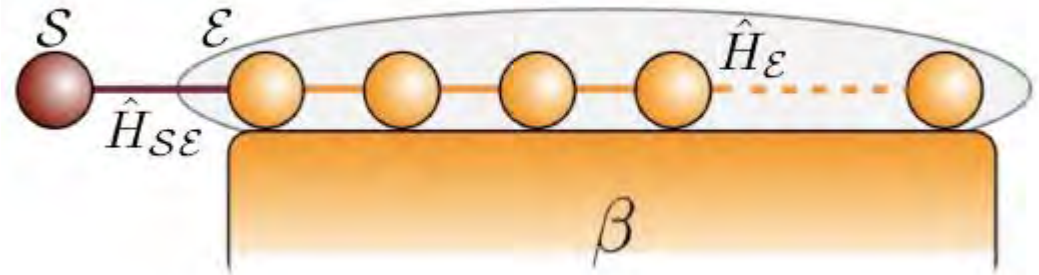
$$\langle f(x) \rangle \geq f(\langle x \rangle) \quad \Rightarrow$$

$$\beta Q_E \geq -\ln \text{Tr}_E [\rho_\beta \mathbf{A}]$$



Non-equilibrium lower bound

Spin system coupled to
an isotropic XX model



The thermodynamical bound
here outperforms Landauer's
(although not in general)



Non-equilibrium lower bound

A full – counting statistics – based approach

$$\beta Q_E \geq \Delta S \quad \text{Obtained exploiting FCS}$$

Laplace transform of the probability distribution

$$\tilde{\Theta}(\eta, \beta, t) \equiv \ln \langle e^{-\eta \Delta E} \rangle_t = \ln \int d(\Delta E) p_t(\Delta E) e^{-\eta \Delta E}$$

● Hölder's inequality

$$\|fg\|_1 \leq \|f\|_p \|g\|_q, \quad 0 \leq q, p, \leq 1, \quad \frac{1}{p} + \frac{1}{q} = 1$$



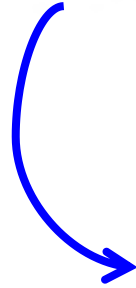
Convexity property of the cumulant generating function

$$\begin{aligned} \tilde{\Theta}([\alpha\eta_1 + (1-\alpha)\eta_2], \beta, t) &= \ln \langle e^{-[\alpha\eta_1 + (1-\alpha)\eta_2]\Delta E} \rangle_t \\ &\leq \alpha \ln \langle e^{-\eta_1 \Delta E} \rangle_t + (1-\alpha) \ln \langle e^{-\eta_2 \Delta E} \rangle_t = \alpha \tilde{\Theta}(\eta_1, \beta, t) + (1-\alpha) \tilde{\Theta}(\eta_2, \beta, t) \end{aligned}$$



Non-equilibrium lower bound

$$\begin{aligned}\tilde{\Theta}([\alpha\eta_1 + (1-\alpha)\eta_2], \beta, t) &= \ln \langle e^{-[\alpha\eta_1 + (1-\alpha)\eta_2]\Delta E} \rangle_t \\ &\leq \alpha \ln \langle e^{-\eta_1\Delta E} \rangle_t + (1-\alpha) \ln \langle e^{-\eta_2\Delta E} \rangle_t = \alpha \tilde{\Theta}(\eta_1, \beta, t) + (1-\alpha) \tilde{\Theta}(\eta_2, \beta, t)\end{aligned}$$


$$\tilde{\Theta}(\eta, \beta, t) \geq \eta \frac{\partial}{\partial \eta} \tilde{\Theta}(\eta, \beta, t) \big|_{\eta=0}$$



Non-equilibrium lower bound

$$\begin{aligned}\tilde{\Theta}([\alpha\eta_1 + (1-\alpha)\eta_2], \beta, t) &= \ln \langle e^{-[\alpha\eta_1 + (1-\alpha)\eta_2]\Delta E} \rangle_t \\ &\leq \alpha \ln \langle e^{-\eta_1\Delta E} \rangle_t + (1-\alpha) \ln \langle e^{-\eta_2\Delta E} \rangle_t = \alpha \tilde{\Theta}(\eta_1, \beta, t) + (1-\alpha) \tilde{\Theta}(\eta_2, \beta, t)\end{aligned}$$

$$\tilde{\Theta}(\eta, \beta, t) \geq \eta \frac{\partial}{\partial \eta} \tilde{\Theta}(\eta, \beta, t) \Big|_{\eta=0} \quad Q_E(t) \equiv \langle \Delta E \rangle = -\frac{\partial}{\partial \eta} \tilde{\Theta}(\eta, \beta, t) \Big|_{\eta=0}$$



Non-equilibrium lower bound

$$\begin{aligned}\tilde{\Theta}([\alpha\eta_1 + (1-\alpha)\eta_2], \beta, t) &= \ln \langle e^{-[\alpha\eta_1 + (1-\alpha)\eta_2]\Delta E} \rangle_t \\ &\leq \alpha \ln \langle e^{-\eta_1\Delta E} \rangle_t + (1-\alpha) \ln \langle e^{-\eta_2\Delta E} \rangle_t = \alpha \tilde{\Theta}(\eta_1, \beta, t) + (1-\alpha) \tilde{\Theta}(\eta_2, \beta, t)\end{aligned}$$

$$\tilde{\Theta}(\eta, \beta, t) \geq \eta \frac{\partial}{\partial \eta} \tilde{\Theta}(\eta, \beta, t)|_{\eta=0} \quad Q_E(t) \equiv \langle \Delta E \rangle = -\frac{\partial}{\partial \eta} \tilde{\Theta}(\eta, \beta, t)|_{\eta=0}$$

$$\beta Q_E(t) \geq -\frac{\beta}{\eta} \tilde{\Theta}(\eta, \beta, t) \equiv \mathcal{B}_Q^\eta(t), \quad \eta > 0$$

- New one-parameter family of lower bounds to the mean dissipated heat
- It applies to a non-equilibrium scenario



Non-equilibrium lower bound

- Consider the family of lower bounds $\mathcal{B}_Q^\eta(t) = -\frac{\beta}{\eta} \tilde{\Theta}(\eta, \beta, t)$

- $\eta = \beta$ case

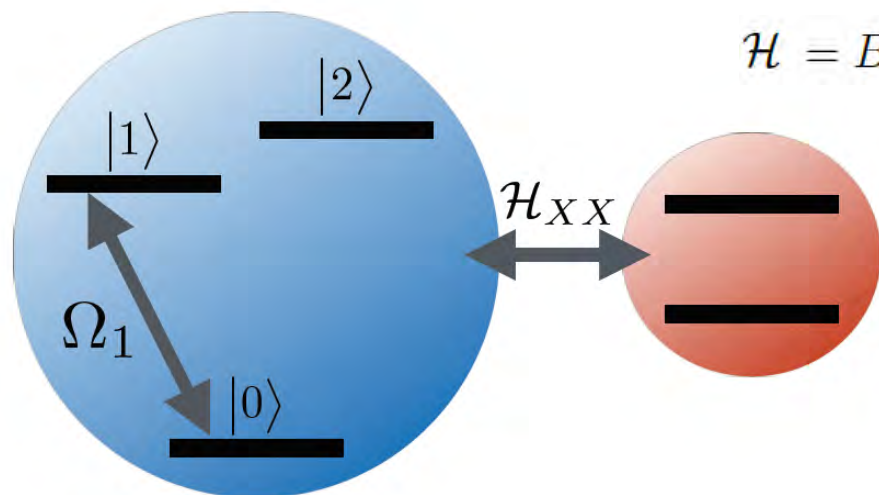
$$\mathcal{B}_Q^\beta(t) = -\tilde{\Theta}(\beta, \beta, t) = \ln \text{Tr}_E [\rho_\beta \mathbf{A}]$$

For this choice of the counting field parameter we retrieve the lower bound obtained by Goold, Modi and Paternostro.

- For $0 < \eta \leq \beta$ we therefore find lower bounds which outperform it



XX – coupled and driven V - system



$$\mathcal{H} = BS_z^{20} + B\sigma_z + J(S_x^{20} \otimes \sigma_x + S_y^{20} \otimes \sigma_y) + \underline{D}^{20} \cdot \underline{E}$$

$$\underline{D}^{20} = \underline{d}S_-^{20} + \underline{d}^*S_+^{20}$$

$$S_{\pm}^{20} = \frac{1}{2}(S_x^{20} \pm iS_y^{20})$$

$$\underline{E} = \underline{\varepsilon} + \underline{\varepsilon}^*$$

$$\underline{\varepsilon} = i \sum_{\mathbf{k}} \sum_{\lambda=1,2} (\sqrt{2\pi\omega_{\mathbf{k}}/V}) \mathbf{e}_{\lambda}(\mathbf{k}) b_{\lambda}(\mathbf{k})$$

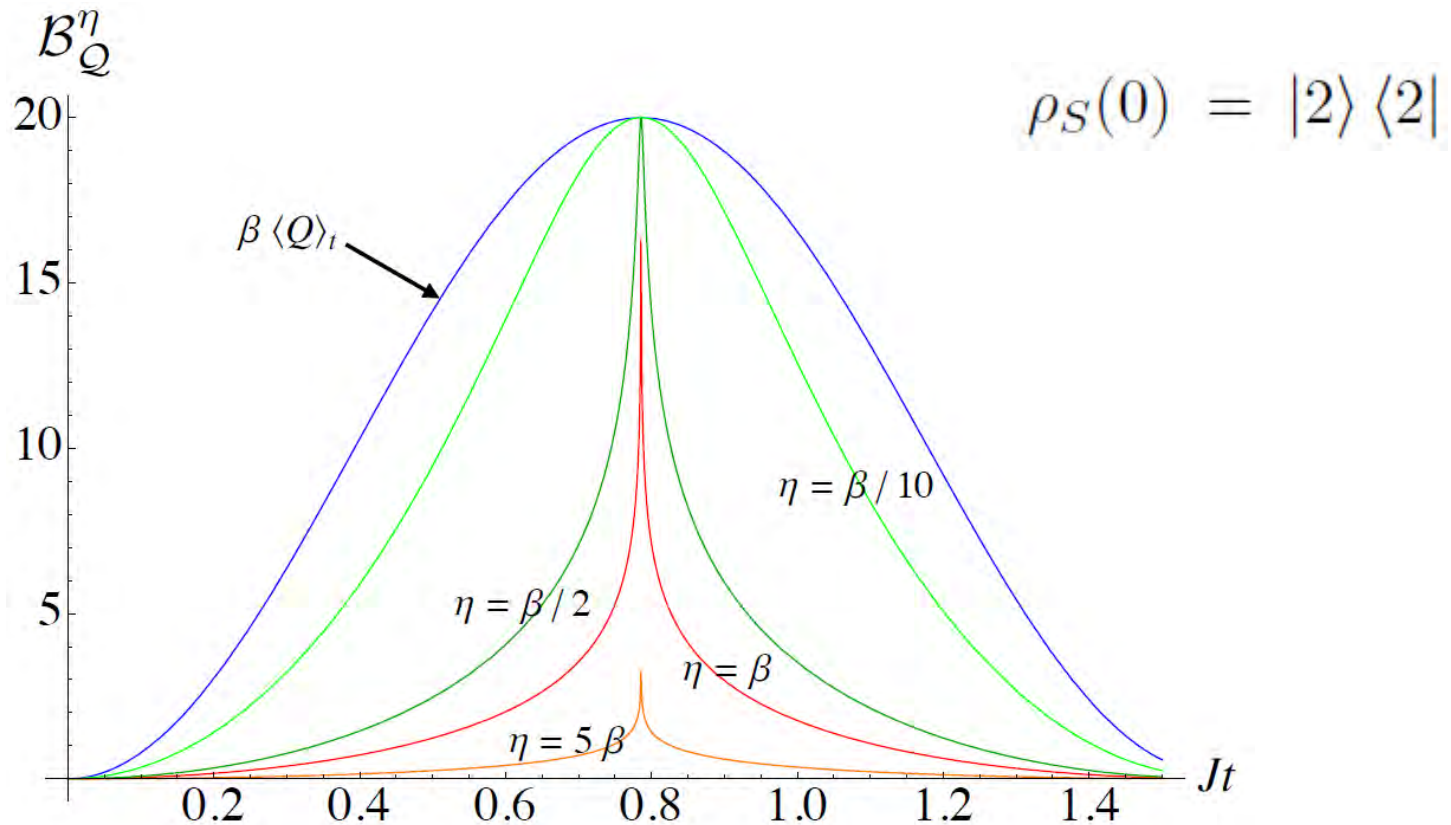
● Interaction picture $\mathcal{H} = J(S_x^{20} \otimes \sigma_x + S_y^{20} \otimes \sigma_y) + \Omega_1 S_x^{20} \otimes \mathbb{1}_2$.

●
$$\Theta(\eta, \beta, t) = \log \left([1 + \tanh(\beta B)] \frac{16J^2\Omega_1^2 e^{-2B\eta} \sin^4(\frac{\omega_1}{2}t) + 4J^2 e^{-2B\eta} \sin^2(\omega_1 t)}{2\omega_1^4} \right. \\ \left. + [1 + \tanh(\beta B)] \frac{(4J^2 \cos(\omega_1 t) + \Omega_1^2)^2}{2\omega_1^4} \frac{1 - \tanh(\beta B)}{2} \right),$$

●
$$Q_E(t) = [1 + \tanh(\beta B)] \frac{16BJ^2 \sin^2(\frac{\omega_1}{2}t) [-4J^2 \sin^2(\frac{\omega_1}{2}t) + \omega_1^2]}{\omega_1^4}$$



XX – coupled and driven V - system



- Asymptotically tight family of lower bounds to the mean dissipated heat
- Even the $\eta = \beta$ case outperforms Landauer's result in this case, since the change in the system's entropy is here a non-positive quantity at any time



Conclusions (1)

- Heat, in an open quantum systems' scenario, is a delicate concept. One useful way to characterize it is by using the so-called full counting statistics. By means of it, we have studied the time-behavior of the mean value of heat in a generally non-Markovian regime, introducing a condition/quantifier for the occurrence/amount of heat backflow.
- Explicit calculations in a spin-boson model and in a quantum Brownian motion model have shown that heat backflow is maximized when the system and environment initially start at the same temperature.



Conclusions (2)

- A comparative analysis with suitable quantifier of non-Markovianity in both models have moreover shown that occurrence of heat backflow represents a stricter condition than non-Markovianity, in the sense that the latter is required in order to witness the former and, viceversa, a Markovian dynamics prevents the observation of heat backflow.
- Finally, exploiting again full counting statistics, we have derived a family of lower bounds to the mean dissipated heat in an environmental-assisted erasure protocol scenario. The latter has been characterized in a specific model consisting of an externally driven ad XX-coupled V-system, where the new lower bound can be proven to outperform original Landauer's bound.



**THANK YOU
FOR YOUR ATTENTION**