# Optimized and Parallel Query Processing in Similarity-based Databases 

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## Motivation

## Natural query

Find a hatchback which costs about \$11,500 or less.

## Database query

RETRIEVE cars WHERE type $\approx_{t y p e}$ 'Hatchback'
$\otimes\left(\right.$ price $\approx_{\text {price }} 11500 \vee$ price $\left.<11500\right)$;

## Result

|  | name | price | type | year |
| :--- | :--- | :--- | :--- | :--- |
| 1.00 | Ford Focus | 9811.0 | Hatchback | 2011 |
| 0.80 | Hyundai i30 | 11699.0 | Hatchback | 2010 |
| 0.50 | Honda Accord | 10600.0 | Wagon | 2010 |
| 0.44 | Ford Fiesta | 11560.0 | Wagon | 2011 |

## Theoretical Foundations

- generalized Codd's relational model
- relational algebra
- relational calculus
- functional dependencies
(i) Bělohávek R., Vychodil V.: Relational model of data over domains with similarities: An extension for similarity queries and knowledge extraction. In IRI (2006), IEEE Systems, Man, and Cybernetics Society.
(ii) Bělohávek R., Opichal S., Vychodil V.: Relational algebra for ranked tables with similarities: Properties and implementation. In IDA (2007), M. R. Berthold, et al., Eds., vol. 4723 of Lecture Notes in Computer Science, Springer.
(iii) Bělohávek R., Vychodil V.: Data tables with similarity relations: functional dependencies, complete rules and non-redundant bases. In: DASFAA 2006, LNCS 3882, pp. 644-658 (2006)
(iv) Bělohlávek R., Vychodil V.: Query systems in similarity-based databases: logical foundations, expressive power, and completeness. In: ACM SAC 2010, pp. 1648-1655 (2010)
(v) Bělohlávek R., Vychodil V.: Codd's relational model from the point of view of fuzzy logic. J. Logic and Computation 21:851-862 (2011)
(vi) ...


## Towards Practical Implementation

## Query Language (RESIQL)

■ Krajca P., Vychodil V.: Basic Concepts of Relational Query Language for Similarity-Based Databases. (MDAI 2012)

## Algorithms for Data Processing

■ Krajca P., Vychodil V.: Query Optimization Strategies in Similarity-Based Databases. (MDAI 2013)

- covers the most common scenarios (top-k queries)
- further requirements (e.g., order of rows, random access)

■ unusual or complex queries difficult to optimize
■ ....a fallback plan

## Preliminaries

## Scale of Truth Degrees

■ complete residuated lattice: $\mathbf{L}=\langle L, \wedge, \vee, \otimes, \rightarrow, 0,1\rangle$

- $\langle L, \wedge, \vee, 0,1\rangle$ is a complete lattice with 0 and 1 being the least and greatest element of $L$
- $\langle L, \otimes, 1\rangle$ is a commutative monoid

■ $\otimes$ and $\rightarrow$ satisfy so-called adjointness property: $a \otimes b \leq c$ iff $a \leq b \rightarrow c$ for each $a, b, c \in L$

## Example 1

- $\mathrm{L}=[0,1]$
- $a \otimes b=\max (0, a+b-1)$
- $a \rightarrow b=\min (1,1-a+b)$


## Example 2

- $\mathbf{L}=[0,1]$
- $a \otimes b=a \cdot b$

■ $a \rightarrow b= \begin{cases}1, & \text { if } a<b \\ \frac{b}{a}, & \text { otherwise }\end{cases}$

## Data Model: Basic Concepts

- nonempty set $Y$ of attributes - names of columns
- finite subset $R \subseteq Y$ is called a relation scheme (heading of the table)

■ each attribute $y \in Y$ has its domain $D_{y}$ (set of attribute's values)
■ having a scale of truth degrees, $L$ each domain $D_{y}$ can be equipped with a map $\approx_{y}: D_{y} \times D_{y} \rightarrow L$, called a similarity, satisfying conditions of reflexivity and symmetry:
(i) $\approx_{y}(u, u)=1$ for all $u \in D_{y}$;
(ii) $\approx_{y}(u, v)=\approx_{y}(v, u)$ for all $u, v \in D_{y}$

- $u \approx_{y} v$ is interpreted as a degree to which $u \in D_{y}$ is similar to $v \in D_{y}$


## Data Model: Ranked Data Tables (Definition)

- cartesian product of domains $D_{y}(y \in R)$, denoted by $\prod_{y \in R} D_{y}$, is a set of all maps $r: R \rightarrow \bigcup_{y \in R} D_{y}$ such that $r(y) \in D_{y}$ for all $y \in R$
- each $r \in \bigcup_{y \in R} D_{y}$ shall be called a tuple on $R$ over domains $D_{y}(y \in R)$
- a ranked data table on $R$ (shortly, an RDT) over domains $D_{y}$ with similarities $\approx_{y}$ $(y \in R)$ is any map

$$
\mathcal{D}: \prod_{y \in R} D_{y} \rightarrow L
$$

such that there are at most finitely many tuples $r$ such that $\mathcal{D}(r)>0$.

■ the degree $\mathcal{D}(r)$ assigned to tuple $r$ by $\mathcal{D}$ shall be called a rank of tuple $r$ in $\mathcal{D}$

## Data Model: Ranked Data Tables (Remarks)

■ attributes from $R$ denote table columns

- values from $D_{y}$ are table entries
- order of tuples and columns does not matter
- RDTs are counterparts to the ordinary data tables in the original Codd's model

■ RDTs represent stored data

■ RDTs are results of similarity-based queries where tuples are allowed to match conditions to degrees

■ rank indicates the degree to which tuple satisfies the given query

## Query Processing

## Common Strategy

1 query is transformed from a query language (SQL, RESIQL) into a relational algebra expression

2 rules of rel. algebra are applied
3 execution plan is constructed (physical operators working with data)
4 data are retrieved

## Remarks

- for Codd's original RM set of known physical operators exists (MergeJoin, HashJoin, etc.)
- for generalized RM limited number of physical operators
- variants of Fagin's algorithm
- top-k queries
- further conditions have to be fulfilled (sorted access, random access)


## Our Alternative Strategy

■ inspiration in compilers of general purpose programming languages
1 query is transformed from a query language (SQL, RESIQL) into a relational algebra expression

2 rules of rel. algebra are applied
3 rel. algebra operators are decomposed to elementary operations
4 elementary operations are subject of optimizations
5 query is processed

## Operation: Restriction

■ for an RDT $\mathcal{D}$ on $R=\left\{r_{1}, \ldots, r_{n}\right\}$, attribute $r_{i} \in R$, and $c \in D_{r_{i}}$ similarity based restriction $\sigma_{r_{i} \approx c}(\mathcal{D})$ is defined by

$$
\left(\sigma_{r_{i} \approx c}(\mathcal{D})\right)(u)=\mathcal{D}(u) \otimes\left(u\left(r_{i}\right) \approx c\right)
$$

■ if $\mathcal{D}$ is result of query $Q$, the rank given by restriction is a degree to which " $u$ matches $Q$ and its $r_{i}$-value is similar to $c$.

## Decomposition

```
for }u\mathrm{ in D
    emit (rank:\mathcal{D}(u)\otimes(u(ri)}\approxd),\mp@subsup{r}{1}{}:u(\mp@subsup{r}{1}{}),\ldots,\mp@subsup{r}{n}{}:u(\mp@subsup{r}{n}{})
```


## New operators

■ for $u$ in $\mathcal{D}$ - loops over all tuples $u$ in $\mathcal{D}$; collects all emitted tuples
■ emit $f(u)$ - emits new tuple (applies transformation function $f$ on each tuple $u$ )

- relationship to map function from Lisp


## Operators: Natural Join

■ for two RDTs $\mathcal{D}_{1}$ and $\mathcal{D}_{2}$ with relation schemes $\left\{r_{1} \ldots, r_{n}, t_{1}, \ldots, t_{n}\right\}$ and $\left\{s_{1}, \ldots, s_{n}, t_{1}, \ldots, t_{n}\right\}$, respectively, with common attributes $t_{1}, \ldots, t_{n}$

- natural join is a relation on $\left\{r_{1} \ldots, r_{n}, t_{1}, \ldots, t_{n}, s_{1}, \ldots, s_{n}\right\}$ consisting of (set-theoretic) concatenation of all joinable tuples $u w$ and $v w$ from $\mathcal{D}_{1}$ and $\mathcal{D}_{2}$, respectively, such that

$$
\left(\mathcal{D}_{1} \bowtie \mathcal{D}_{2}\right)(u v w)=\mathcal{D}_{1}(u w) \otimes \mathcal{D}_{2}(v w)
$$

## Decomposition

```
for }u\mathrm{ in }\mp@subsup{\mathcal{D}}{1}{
    for v}\mathrm{ in }\mp@subsup{\mathcal{D}}{2}{
    emit (rank: \mathcal{D}}\mathbf{1}(u)\otimes\mp@subsup{\mathcal{D}}{2}{}(v)\otimesu(\mp@subsup{t}{1}{})=v(\mp@subsup{t}{1}{})\otimes\cdots\otimes|(\mp@subsup{t}{n}{})=v(\mp@subsup{t}{n}{})
        r}\mp@code{1}:u(\mp@subsup{r}{1}{}),\ldots,\mp@subsup{r}{n}{}:u(\mp@subsup{r}{n}{})
        t}:u(\mp@subsup{t}{1}{}),\ldots,\mp@subsup{t}{n}{}:u(\mp@subsup{t}{n}{})
        s
```


## Operators: Projection

- for an RDT $\mathcal{D}$ on $T$ is the projection $\pi_{R}(\mathcal{D})$ of $\mathcal{D}$ onto $R \subseteq T$ defined as follows

$$
\left(\pi_{R}(\mathcal{D})\right)(u)=\bigvee\left\{\mathcal{D}(u v) \mid v \in \prod_{y \in T \backslash R} D_{y}\right\}
$$

for each tuple $u \in \prod_{y \in R} D_{y}$.

## Decomposition

```
reduce V
    for }u\mathrm{ in R
        emit (rank:\mathcal{D}(u), r
```


## New Operator

- reduce $g$ - aggregates ranks of the same tuples with the aggregation function $g$


## Operators: more

- reduce allows to implement set-theoretic operations by choosing proper aggregation function
- for instance, for union $\left(\mathcal{D}_{1} \cup \mathcal{D}_{2}\right)$ we use $\bigvee$


## Decomposition

```
reduce \
    for }u\mathrm{ in }\mp@subsup{\mathcal{D}}{1}{
        emit u
    for v}\mathrm{ in }\mp@subsup{\mathcal{D}}{2}{
        emit v
```

- intersection more complicated (see proceedings)


## Optimizations

## Optimization: Composition

- joins two for operators


## Expressions

```
\Re
    body
        emit f}\mp@subsup{f}{1}{}(u
\mp@subsup{\Re}{2}{}: for v}\mathrm{ in }\mp@subsup{\mathfrak{R}}{1}{
    emit f}\mp@subsup{f}{2}{}(v
```

is transformed to

```
\Re2: for }u\mathrm{ in }
    body
        emit }\mp@subsup{f}{2}{}(\mp@subsup{f}{1}{}(u)
```


## Optimization: Composition (Example)

■ two restrictions $\sigma_{r_{i} \approx c_{i}}\left(\sigma_{r_{j}} \approx c_{j}(\mathcal{D})\right)$ on RDT $\mathcal{D}$ with relation scheme $\left\{r_{1}, \ldots r_{n}\right\}$.
■ two for-loops
$\mathfrak{R}_{1}$ : for $u$ in $\mathcal{D}$

$$
\text { emit }\left(\text { rank }: \mathcal{D}(u) \otimes\left(u\left(r_{i}\right) \approx c_{i}\right), r_{1}: u\left(r_{1}\right), \ldots, r_{n}: u\left(r_{n}\right)\right)
$$

$\mathfrak{R}_{2}$ : for $v$ in $\mathfrak{R}_{1}$

$$
\operatorname{emit}\left(\operatorname{rank}: \mathcal{D}(v) \otimes\left(v\left(r_{j}\right) \approx c_{j}\right), r_{1}: v\left(r_{1}\right), \ldots, r_{n}: v\left(r_{n}\right)\right)
$$

## after transformation

```
\Re2: for }u\mathrm{ in }\mathcal{D
    emit (rank:\mathcal{D}(u)\otimes (u(ri) \approx cit)\otimes (u(r ) * cof),
        r}1:u(\mp@subsup{r}{1}{}),\ldots,\mp@subsup{r}{n}{}:u(\mp@subsup{r}{n}{})
```


## Remark

- new representation corresponds to $\sigma_{\left(r_{i} \approx c_{i}\right) \otimes\left(r_{j} \approx c_{j}\right)}(\mathcal{D})$ (consistent with rel. algebra)


## Optimization: Filtering

- queries contains often multiple nested loops
- decision if the tuple will be emitted (has non-zero rank) is always in the inner-most loop
- desirable to skip useless computations

■ emit is the only place where rank is assigned
■ if the final rank is given by subexpressions aggregated by a monotone function (e.g., $\otimes$, $\wedge$ ), one can determine whether the final rank will be zero, or not

## New operator

- filter cond - perform expressions in the body if cond $\neq 0$

■ filter is placed always to the outter most for-loop

- corresponds to loop-invariant code motion optimization


## Optimization: Filtering (Example)

- $\sigma_{r_{i}=c}\left(\mathcal{D}_{1} \bowtie \mathcal{D}_{2}\right)$
- where $\mathcal{D}_{1}$ and $\mathcal{D}_{2}$ are RDTs with disjoint schemes may be compiled to:

```
for }u\mathrm{ in }\mp@subsup{\mathcal{D}}{1}{
    filter (u(ri) \approxc)
    for v}\mathrm{ in }\mp@subsup{\mathcal{D}}{2}{
        emit (rank: \mathcal{D}}
        r}1:u(\mp@subsup{r}{1}{}),\ldots,\mp@subsup{r}{n}{}:u(\mp@subsup{r}{n}{})\mathrm{ ,
        s
```


## Optimization: Index Selection

- RDBMS uses indexes to efficiently retrieve data from the physical storage
- exists methods for similarity-based databases


## New operator

- index $\mathcal{D}$, cond - from the physical storage of the RDT $\mathcal{D}$ (must be relational variable) retrieves tuples satisfying condition cond
- if the for has a relational variable as its argument and is followed by a filter operation, it is an opportunity for optimization


## Optimization: Index Selection (Example)

■ similarity-based join $\sigma_{r_{i} \approx s_{i}}\left(\mathcal{D}_{1} \bowtie \mathcal{D}_{2}\right)$

- two RDTs $\mathcal{D}_{1}$ and $\mathcal{D}_{2}$ with relation schemes $\left\{r_{1} \ldots, r_{n}\right\}$ and $\left\{s_{1}, \ldots, s_{n}\right\}$, respectively
- an index on attribute $r_{i}$

```
for }u\mathrm{ in }\mp@subsup{\mathcal{D}}{1}{
    for v in index( (\mathcal{D},u(ri) \approx v(si))
        filter (u(ri) \approxv(si))
            emit (rank: \mathcal{D}}
            r}1:u(\mp@subsup{r}{1}{}),\ldots,\mp@subsup{r}{n}{}:u(\mp@subsup{r}{n}{})\mathrm{ ,
            s
```

- a nested-loop join algorithm

■ efficiently uses indexes

## Implications

- operators of the generalized model can be transformed into 3 (or 5) elementary operations
- three simple rules allows to infer algorithms for otherwise unconsidered combinations of operators
- all operators are compatible with the map/reduce framework for data processing (implicit parallelization)
■ for, emit, and filter - map jobs
- reduce - reduce job

■ two nested loops and projection


## Evaluation

|  | unoptimized |  | optimized |  |  |
| :--- | ---: | ---: | ---: | ---: | ---: |
|  | tuples | time | tuples | time | time (8 procs.) |
| adult | 829,821 | 5.6 s | 292,938 | 3.9 s | 1.9 s |
| bank | $2,044,034,521$ | 151 min | 65,705 | 2.3 s | 1.2 s |
| cars | 65,898 | 458 ms | 43,964 | 323 ms | 178 ms |
| wine quality | $28,302,400$ | 143.6 s | 352,841 | 7.1 s | 2.9 s |

Number of tuples fetched and processing time

## Conclusions

■ novel method of optimizations in similarity based database

- complementary to existing algorithms

■ allows for implicitly parallel or distributed computing (Apache Hadoop, Apache Spark)

