Raman Optical Activity in the Ultraviolet Spectral Region

Philip J. Stephens Award Address

Josef Kapitán, Laurence D. Barron, Lutz Hecht





Palacký University Olomouc



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Overview of Raman scattering signal origin and instrumentation

DUV ROA spectrometer

Measurement of non-resonant and pre-resonant spectra and their interpretation

Outlook for further development of DUV ROA spectrometer

Origin of Raman scattering signal

- 1. Raman scattering from a single molecule:
 - wavenumber of scattered radiation
 - geometry of scattering
 - polarization of excitation and scattered radiation
 - molecular properties
- 2. Raman scattering from a bulk sample volume source of radiation
- 3. Properties of spectral analyzers:
 - optical throughput, étendue
 - spectral resolution
 - spectral range
 - efficiency (transmittance)
 - signal/noise, sources of noise
- 4. Optics for transfer of scattered radiation to spectral analyser

1. Raman scattering from a single molecule

Radiant intensity of Raman scattering from single molecule:



1. Raman scattering from a single molecule

Radiant intensity of Raman scattering from single molecule:

$$I \equiv \frac{d\Phi}{d\Omega} = \beta E_0$$
[W sr¹] Irradiance [W cm⁻²]

Differential Raman scattering cross-section:

$$\beta \equiv \frac{d\sigma}{d\Omega} = k_{\tilde{v}} (\tilde{v}_s^4) F(\theta, p_i, p_s, T_{fi})$$

$$(cm^2 \, sr^{-1} \, molecule^{-1})$$



But: detected signal proportional to detected photons.s⁻¹ (in UV and VIS, NIR spectral regions)

2. Raman scattering from a bulk sample - volume source of radiation

Radiant emissivity (radiant flux from unit volume into unit solid angle):

$$J \equiv \frac{d^2 \Phi_s}{d\Omega \, dV} = D \, \beta' E_0$$

concentration of molecules [molecule cm-3]

Radiant flux of Raman scattering:

$$d^{3}\Phi_{s} = J T dA dz d\Omega = D \beta' T d\Phi_{0} dz d\Omega$$

$$\uparrow$$
transmission factor

transmission factor

dV θ E_0 dA dz

dΩ

Radiance from a thin sample:

$$B_s = \frac{d^2 \Phi_s}{d\Omega \, dA \cos \theta}$$

$$dB_s = \frac{J \, dz}{\cos \theta}$$

2. Raman scattering from a bulk sample - volume source of radiation

Radiant emissivity (radiant flux from unit volume into unit solid angle):

$$J = \frac{d^2 \Phi_s}{d\Omega \, dV} = D \, \beta' E_0$$

concentration of molecules [molecule cm-3]

Radiant flux of Raman scattering:

$$d^{3}\Phi_{s} = J T dA dz d\Omega = D \beta' T d\Phi_{0} dz d\Omega$$
transmission factor

1 41151111551011 140101

often possible to evaluate as:

$$\Phi = D \beta \Phi_0 \int \Omega(s) T(s) ds$$



Scattering geometry:





Collinear (back / forward) scattering



 $d^{3}\Phi = JT \, dA \, dz \, d\Omega = D \, \beta T \, d\Phi_{0} \, dz \, d\Omega$

$$\Phi_{2a} = D \beta \Phi_0 \int_{s_{1\min}}^{s_{1\max}} \pi \frac{D_{p1}^2}{4(f_1 - s_1)^2} ds_1 = D \beta \Phi_0 \pi D_{20}' NA_{20}'$$



Calculation of transmittance factor in dependence of position in object space

Dependence on distance between lenses 1 and 2



Collinear (back / forward) scattering



Collinear (back / forward) scattering



$$\Phi_{\textit{collinear}} = D \beta \Phi_0 \pi D'_{20} NA'_{20}$$

Sample volume:
$$V = \pi \frac{D_{20}^3}{NA_{20}} \left(\frac{f_1'}{f_2'}\right)^4$$

Numerical example:

$$f_1' = 26 \text{ mm}$$

 $f_2 = 100 \text{ mm}$
 $D_{20} = 1.6 \text{ mm}$
 $NA_{20} = 0.12$



 $V=0.5\,\mu\,\mathrm{L}$

Real-life volume (path-length 4 mm): 30 µL

Comparison of right angle and collinear scattering

Spectrograph input aperture area: $\frac{\pi}{4}D'_{20}^2 = w'_{x2}h'_{y2}$

$$\frac{\Phi_{90}}{\Phi_{180}} = \frac{D\beta \Phi_0 h'_{y2} \frac{f'_2}{f'_1} \pi N A'^2_{20}}{D\beta \Phi_0 \pi D'_{20} N A'_{20}} = \sqrt{\frac{\pi}{4} \frac{h_{y1}}{w_{x1}}} N A_{10}$$

Numerical example

$$NA_1 = 0.5$$

$$h_{y1}: w_{x1} = 256:2$$
$$\frac{\Phi_{90}}{\Phi_{180}} = 5$$

Radiation transfer: from sample to spectrograph



Collinear (back / forward) scattering

Radiation transfer optical system:



V. Profant, V. Baumruk et at., J. Raman. Spectrosc. (2014)

Raman and ROA Intensity calibration

Relative intensity correction: standard reference source needed

NIST Relative intensity correction standard reference 2242



V. Profant, V. Baumruk et at., J. Raman. Spectrosc. (2014)

Collinear (back / forward) scattering

Design of new optical system



Parameters for radiation transfer optics (excitation wavelength 532 nm)

Required parameter	Value		
Collimating objective	Aspheric singlet lens		
Diameter	30 mm		
Focal lenght	26,0 mm (for 780 nm)		
Material	S-LAH64		
Sample			
Water	0-4 mm, center at 2,0 mm		
Max. distance from optical axis	0,05 mm		
Distance between focusing and collimating	200 - 400 mm		
lens			
Numerical aperture of focusing lens	0,118		
Wavelength range	530 – 600 nm		

Collinear (back / forward) scattering

Design of new optical system



spherical and chromatic aberration



Pupil Radius: 2.0513 Millimeters





532nm 562 nm 590 nm

spot diagram

3. Spectral Analyzers



- How to compare and evaluate various spectral analysers?



- spectral range
- geometrical throughput
- source size (confocal/bulk)

$$R = \frac{\lambda}{d\lambda} = \frac{\widetilde{v}}{d\widetilde{v}}$$

$$d^2 G \equiv n^2 dA \cos \theta \, d\Omega$$

mirror

Required resolving power for various excitation wavelengths:

$\lambda_{0}(\mathbf{nm})$	$\widetilde{\nu}_{vib} = 600 \text{ cm}^{-1}$	$\widetilde{\nu}_{vib} = 1800 \text{ cm}^{-1}$	$d\widetilde{\nu} = 7 \text{ cm}^{-1}$	$R = \lambda / d\lambda$
1064	1137 nm	1316 nm	1,0 nm	1200
532	550	588	0,22 nm	2500
356	364	380	0,095 nm	3900
229	232	239	0,038 nm	6100

Étendue, geometrical throughput: $d^2G \equiv n^2 dA \cos \theta \, d\Omega$

$$G = \iint_{\Omega A} d^2 G \cong n^2 A_D \Omega_D \cong \pi A n^2 \sin^2 \theta$$

Basic radiance
$$B' \equiv \frac{B}{n^2} = \frac{d^2 \Phi}{n^2 d\Omega dA \cos \theta}$$

Radiance from thin sample:

$$B_s \equiv \frac{d^2 \Phi_s}{d\Omega \, dA \cos \theta} = \frac{J \, dz}{\cos \theta}$$

Evaluation of "throughput" of spectral analyzer (spectrograph)



of spectrograph



- shot noise limit (UV, VIS): dispersive multichannel spectrographs
- readout noise limit (IR): intefrerometers Jacquinot advantage (high throughput)
- background noise limit (fluorescence): go to NIR or UV spectral region



Evaluation of "throughput" of spectral analyzer (spectrograph)



Cross-section transformer



Utilization of geometrical throughput – cross-section transformer



Cross section transformer

Solution 1: fibre optics



W. Hug, J. Raman Spectrosc. 30, 841 (1999).

Solution 2a: slit slicing





Solution 2b: pupil slicing



Patent Application Publication Dec. 8, 2011

US 2011/0299075 A1



I. S. Bowen, Astrophys. J., 1938, 88, 113

Solution 3: interferometers

Michelson interferometer



 $\sigma_{n} = \sigma_{o} + n\delta\sigma$

Combined

Harlander interferometer



W/2

J. Harlander et. Al, Astrophys. J., 1992, 396, 730

Cross section transformer

Solution 4: use of coded apertures



Hadamard mask

M. E. Gehm, Appl. Optics, 2006, 45, 2965

Raman Optical Activity

Raman scattering: two-photon process

1. Excitation wavelength

Raman scattering cross-section

- 488 532 nm
- 785 nm
 - L. A. Nafie, Appl. Spectrosc. 2007, 61, 1103

$$\beta' \equiv \frac{d\sigma'}{d\Omega} = \frac{k_{\tilde{v}}(\tilde{v}_s^3)}{h c} F(\theta, p_i, p_s, T_{fi})$$



ROA
$$\approx \widetilde{\nu}_s^4$$

S-(-)-α-pinene
Raman Optical Activity

Raman scattering: two-photon process

1. Excitation wavelength

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M. Unno, et. al, J. Phys. Chem. B 2013, 117, 1321

 $\beta' \equiv \frac{d\sigma'}{d\Omega} = \frac{k_{\widetilde{v}}(\widetilde{V}_s^3)}{hc} F(\theta, p_i, p_s, T_{fi})$

ROA $\approx \widetilde{\nu}_s^4$



Raman Optical Activity

Raman scattering: two-photon process

- 1. Excitation wavelength
- 488 532 nm
- 785 nm
- 244 nm (this work)
 - + higher intensity of Raman/ROA scattering
 - but lower laser power (photo-damage)
 - + absence of fluorescence background
 - + pre/resonance enhancement

$$\beta' \equiv \frac{d\sigma'}{d\Omega} = \frac{k_{\widetilde{v}}\widetilde{v}_s^3}{hc} F(\theta, p_i, p_s, T_{fi})$$

Raman scattering cross-section

ROA
$$\approx \widetilde{v}_s^4$$



Resonance Raman scattering



Raman spectra of myoglobin

Raman Optical Activity

Raman scattering: two-photon process

- 1. Excitation wavelength
- 488 532 nm
- 785 nm
- 244 nm

- 2. Scattering geometry (scattering angle)
- backscattering geometry
- right-angle scattering geometry
- forward scattering geometry

3. Modulation scheme



ROA first observed (as ICP) in 1973 by L.D. Barron, M.P. Bogaard and A.D. Buckingham, JACS 95, 603 (1973).

Right angle scattering ICP ROA Instrument



L. D. Barron, J. Raman Spectrosc. 18, 281 (1987).



W. Hug, Appl. Spectrosc. 35, 115 (1981)

Backscattering

ICP ROA Instrument



W. Hug, Raman Spectroscopy (1982)

- L. D. Barron, JACS 111, 8731 (1989),
- L. Hecht, L. D. Barron, J. Raman Spectrosc. 23, 401 (1992),
- L. Hecht, L. D. Barron, J. Raman Spectrosc. 30, 815 (1999).

Backscattering

ICP ROA Instrument

SCP ROA Instrument

frequency-doubled



CCD detector

W. Hug, Raman Spectroscopy (1982)

- L. D. Barron, JACS 111, 8731 (1989),
- L. Hecht, L. D. Barron, J. Raman Spectrosc. 23, 401 (1992),
- L. Hecht, L. D. Barron, J. Raman Spectrosc. 30, 815 (1999).

W. Hug, J. Raman Spectrosc. 30, 841 (1999).W. Hug, Comprehensive Chiroptical Spectroscopy, Vol. I (2012)



CC,

z



Building blocks of an SCP ROA spectrometer.

W. Hug, Comprehensive Chiroptical Spectroscopy, Vol. I, 147 (2012)



S

 M_2

 LR_3

UV Raman spectrometer



L. Hecht, J. Raman Spectrosc. 37, 562 (2006)















Glasgow ICP UV-ROA Spectrometer



Spectral resolution / pixel width

Ratio of wavenumber dependence of Raman scattering for DUV (244 nm excitation) and VIS (532 nm excitation)



Comparison of DUV and VIS Raman Intensities

Factor

8

2

1.8

1.4

1.5

1.4

90





Non-absorbing samples

Menthol in methanol



	Concentration	~2.3g + 1.0g Methanol
nm	Accumulation time VIS	0.5 hours
	Accumulation time UV	16 hours
	Laser power VIS (532nm)	~30 <u>mW</u>
	Laser power UV (244 nm)	~ <u>3_mW</u>

ROA Spectra



Menthol in methanol

Comparision of selected CID ratios

Band position/cm ⁻¹	$DUV \times 10^3$	$VIS \times 10^4$	DUV/VIS
847	-2.6	-4.2	6.2
877	-1.0	-3.6	2.8
926	2.2	8.2	2.7
954	-0.6	-3.0	2.0
972	1.7	4.5	3.8
1225	3.0	6.7	4.4
1242	3.4	6.9	4.9
1272	-1.1	-2.8	3.9
1293	-4.1	-12.2	3.4

expected values ~ 2.2-2.5

(-)-Menthol



B3LYP 6-311++G** CPCM (methanol)





Non-absorbing samples

Borneol in methanol



Concentration	1.0 g + 1.0 g Methanol
Accumulation time VIS	0.35 hours
Accumulation time UV	28 hours
Laser power VIS (532nm)	~80 <u>mW</u>
Laser power UV (244 nm)	~ <u>3 mW</u>

Exc. 244 nm





 $\Delta E = 0.0 \text{ kcal/mol}$

 $\Delta E = 0.4$ kcal/mol $\Delta E = 0.4$ kcal/mol

B3LYP 6-311++G** CPCM (methanol)





Enhancement estimate of preresonance samples



Ac-Ala-NHCH₃ (0.5 mol/L)







Absorbing samples

Ala-Ala





Concentration	0.125 mol/L
Accumulation time VIS	41 hours
Accumulation time UV	46 hours
Laser power VIS (532nm)	320 <u>mW</u>
Laser power UV (244 nm)	~4 <u>mW</u>



Exc. 244 nm

Concentration 0.1 mol/L (15 mg/mL) Accumulation time VIS 45 hours Accumulation time UV 40 hours Laser power VIS (532nm) 320 mW Laser power UV (244 nm) 3.5 mW

cyclo(Ala-Ala)

Absorbing samples





cyclo(Ala-Ala)







UV/VIS ROA Spectra

Absorbing samples



UV Raman/ROA spectra of proteins



Wavenumber (cm⁻¹)

Insulin pH ~ 3 1.5 mg/mL

Human serum albumin pH ~ 7 1.5 mg/mL
Further development of UV ROA spectrometer

Development of custom made lens-based spectrographs and transfer optics

Collaboration with Meopta company



Spectral analysers utilized in VIS/NIR Raman spectroscopy



 $d_V = 7 \text{ cm}^{-1}$

$$\alpha = \beta = 45^{\circ}$$

 $g = 2400 \text{ mm}^{-1}$
 $m = 1$
 $\lambda = 565 \text{ nm}$
 $y_2 = 6,7 \text{ mm}$

$$f_1 = f_2 = 85 \text{ mm}$$

(f/#) = 1,8

 $G = 0,10 \text{ mm}^2.\text{sr}$



RoperScientific Acton

Spectral analysers utilized in UV Raman spectroscopy



Spectral analysers utilized in UV Raman spectroscopy



Limiting factors:

low numerical aperture (f/# ~ 4)
coma & astigmatism (correction optics needed)



A Princeton Instruments	Astigmatism IsoPlane
	Corry-Tonar spectrograph

Lens based spectrograph (UV ROA spectroscopy) Glasgow 2006



Hecht L et. al..: J. Raman Spectrosc. 2006, 37, 562 Kapitan J. et al.: J. Raman Spectrosc. 2015 46 392

State-of-the-art of spectrographs for the deep UV Raman spectroscopy



Bykov, Appl. Spectrosc. 2013, 67, 873

Development of new spectrograph for UV Raman spectroscopy

Spectrograph design requirements

Requirement	Value
Spectral resolution	$7-15 \text{ cm}^{-1}$
Spectral region	205-272 nm – see table below
Point spread function (PSF) for 1/e ² criterion	<27 µm (pixel size 13.5 µm)
F-number of focusing objective	2
Focal length of focusing objective	100 mm
Detector size	$7 \times 26 \text{ mm}$
Diffraction grating	3600 gr/mm, 1. diffraction order

		1	2	3	4	5	6
Weight		0.5	1	1	1	0.5	0.25
Wavenumbe (cm ⁻¹)	enumber shift ¹)		500	1150	1800	2400	3200
Wavelength λ (nm)	Conf. 1	250.0	253.2	257.4	261.8	266.0	271.7
	Conf. 2	240.0	242.9	246.8	250.8	254.7	260.0
	Conf. 3	230.0	232.7	236.2	239.9	243.4	248.3
	Conf. 4	218.0	220.4	223.6	226.9	230.0	234.3
	Conf. 5	205.0	207.1	209.9	212.9	215.6	219.4
	Conf. 4 Conf. 5	230.0 218.0 205.0	232.7 220.4 207.1	223.6 209.9	239.9 226.9 212.9	230.0 215.6	234.3 219.4

Excitation wavelengths

Development of spectrograph for UV Raman/ROA spectroscopy



Imaging spectrograph



Development of spectrograph for UV Raman/ROA spectroscopy



Spectral resolution: according to geometrical parameters (2× 13.5 µm pixels)

Configuration	Exc. wavelength (nm)	Incident angle on	Spectral resolution (cm ⁻¹)		
		diffraction grating (deg)	500 cm ⁻¹	1800 cm ⁻¹	3200 cm ⁻¹
1	250	-1.12	4.4	3.4	3.8
2	240	1.0	4.9	3.9	2.6
3	230	2.9	5.4	4.5	3.3
4	218	5.2	6.2	5.3	4.2
5	205	7.75	7.0	6.2	5.2

Development of spectrograph for UV Raman/ROA spectroscopy



Collimating objective

Comparison of "throughput" of the state-of-the-art spectrographs

$$\Phi = \frac{\pi}{4} B_{\lambda} d\lambda \tau \frac{S_{\text{det}}}{(f/\#)^2}$$

$$P = \tau \frac{S_{\text{det}}}{(f/\#)^2}$$

	Transmittance	Effective detektor	F-number	Total
	τ	area S_{det} (mm ²)		(sr.mm ²)
Meopta UV spectrograph	0.15	6×20	2	4.5
triple grating spectrograph	0.05	1 × 26	6.5	0.03
Echelle spectrograph	0.2	6 × 26	6.5	0.73
Corrected Czerny-Turner	0.3	6 × 26	3.8	3.2



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